The rational Cherednik algebra of type $A_1$ with divided powers

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Abstract. Motivated by the recent developments of the theory of Cherednik algebras in positive characteristic, we study rational Cherednik algebras with divided powers. In our research we have started with the simplest case, the rational Cherednik algebra of type $A_1$. We investigate its maximal divided power extensions over $R[c]$ and $R$ for arbitrary principal ideal domains $R$ of characteristic zero. In these cases, we prove that the maximal divided power extensions are free modules over the base rings, and construct an explicit basis in the case of $R[c]$. In addition, we provide an abstract construction of the rational Cherednik algebra of type $A_1$ over an arbitrary ring, and prove that this generalization expands the rational Cherednik algebra to include all of the divided powers.

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1. Introduction

In this paper we study the rational Cherednik algebra of type $A_{n-1}$, which we denote by $\mathcal{H}_{t,c}(\mathfrak{S}_n, \mathfrak{h})$. Cherednik algebras, also known as double affine Hecke algebras (DAHA), are a large family of algebras introduced by Cherednik in [4] to prove Macdonald’s conjectures concerning orthogonal polynomials for root systems. Since then Cherednik algebras have been discovered to be useful in many different contexts, most notably in the study of quantum Calogero-Moser systems (see [9]). Cherednik algebras have also been applied to topology, harmonic analysis, Verlinde algebras, Kac-Moody algebras and more. For a thorough exposition of theory of DAHA in general, see [5]. Another good overview of the theory of rational Cherednik algebras is given in [10].
TherepresentationtheoryofCherednikalgebrasoverfieldsofcharacteristic
zero has been well studied (see [11], [10]), but more recently the theory of Chered-
nik algebras in positive characteristic started to develop. Cherednik algebras in
positive characteristic were investigated in [1] and [2]. In [13], the case of rank
one algebras was discussed. Later in [6], [7], and [3] the Hilbert polynomials of
some irreducible finite dimensional representations were calculated.

The current paper is a continuation of this research. Our main goal was to
develop a theory of Cherednik algebras with divided powers in positive charac-
teristic, so we have started with the simplest example, the rational Cherednik
algebra of type $A_1$. To define the maximal divided power extension even in this
case turned out to be an interesting problem. For more information on alge-
bras with divided powers see [12] and [14]. The main reason for the study of
this construction is the fact that naive reduction of the Cherednik algebra to
positive characteristic makes the algebra “too small”, because a lot of operators
become central and act by zero on important representations. To make rep-
resentation theory richer one can work with the algebra extended by divided
powers.

1.1. Main results. In Section 1, we define the rational Cherednik algebra of
type $A$, introduce our notion of divided power extensions, and show an example
of this notion applied to an algebra of differential operators. In Section 2, we
prove Theorem 2.2 and Theorem 2.4 which show the freeness of the maximal
divided power extension of the rational Cherednik algebra of type $A_1$ over $R$
and $R[c]$, constructing a basis in the latter case. In Section 3, we construct the
maximal divided power extension in an abstract way over an arbitrary ring, and
prove equivalence in most cases.

1.2. The rational Cherednik algebra of type $A$. In this section we will de-
define the rational Cherednik algebra of type $A_{n-1}$, which we denote $\mathcal{H}_{t,c}(\mathfrak{S}_n, \mathfrak{h})$.
In general we will work with the rational Cherednik algebra over an arbitrary
ring, but here we introduce the standard notion over the field of complex num-
bers. Let $\mathfrak{S}_n$ be the symmetric group on $n$ elements and consider its permuta-
tion representation on $\mathfrak{h} = C^n$ and its dual $\mathfrak{h}^*$. For any $1 \leq i \neq j \leq n$, let $s_{ij} \in \mathfrak{S}_n$ denote the reflection switching $i$ and $j$. For each reflection $s_{ij}$, let
$P_{ij} \subset \mathfrak{h}$ be the hyperplane of fixed points of $s_{ij}$, i.e. $P_{ij} = \{(\alpha_1, ..., \alpha_n) : \alpha_i = \alpha_j\}$. Let
$\mathfrak{h}_{\text{reg}} = \mathfrak{h} \setminus \bigcup_{i < j} P_{ij}$ be the set of regular points of $\mathfrak{h}$, i.e. the set of points
which are not fixed by any reflection. Let $\mathcal{D}(\mathfrak{h}_{\text{reg}})$ be the algebra of differential
operators on the set $\mathfrak{h}_{\text{reg}}$. We have a natural action of $\mathfrak{S}_n$ on $\mathfrak{h}_{\text{reg}}$ and hence on
$\mathcal{D}(\mathfrak{h}_{\text{reg}})$. Note that $\mathcal{D}(\mathfrak{h}_{\text{reg}})$ is isomorphic to the localization $\{x_i - x_j\}_{i \neq j}^{-1} \text{Diff}(C[\mathfrak{h}])$
where $x_1, ..., x_n$ are the standard generators of $C[\mathfrak{h}]$. The following results and
definitions are taken from [10].
We have the following properties for Dunkl operators:

**Proposition 1.2.** For any \( 1 \leq i \leq n \) and \( t, c \in \mathbb{C} \), the Dunkl operator is defined as

\[
D_i = t \frac{\partial}{\partial x_i} - c \sum_{j \neq i} \frac{1}{x_i - x_j} (1 - s_{ij}) \in \mathcal{D}(\mathfrak{h}_{\text{reg}}) \otimes \mathbb{C}[\mathfrak{g}].
\]

**Definition 1.3.** For any \( t, c \in \mathbb{C} \) with \( t \neq 0 \), let \( \mathcal{H}_{t,c}(\mathbb{C}_n, \mathfrak{h}) \) be the \( \mathbb{C} \)-subalgebra of \( \mathcal{D}(\mathfrak{h}_{\text{reg}}) \otimes \mathbb{C}[\mathfrak{g}] \) generated by \( \mathfrak{g}^* \), \( \mathbb{C}_n \) and \( D_i \) for \( i = 1, \ldots, n \). This is the rational Cherednik algebra of type \( A_{n-1} \) associated to \( t, c \).

**Proposition 1.4.** For any \( t, c \in \mathbb{C} \) with \( t \neq 0 \), the algebra \( \mathcal{H}_{t,c}(\mathbb{C}_n, \mathfrak{h}) \) is isomorphic to the quotient of the algebra \( \mathcal{C}(x_1, \ldots, x_n, y_1, \ldots, y_n) \otimes \mathbb{C}[\mathfrak{g}] \) by the relations

\[
[x_i, x_j] = 0, \quad [y_i, y_j] = 0, \quad [y_i, x_j] = c s_{ij}, \quad [y_i, x_i] = t - c \sum_{j \neq i} s_{ij}.
\]

**Theorem 1.5** (PBW Theorem). Let \( \text{Sym}(V) \) be the symmetric algebra of \( V \). Let \( x_1, \ldots, x_n \) be the standard basis for \( \mathfrak{g}^* \) and let \( y_1, \ldots, y_n \) be the corresponding basis of \( \mathfrak{h} \). Then the map

\[
\text{Sym}(\mathfrak{h}) \otimes \mathbb{C} [\mathfrak{g}] \otimes \mathbb{C} [\mathfrak{g}^*] \rightarrow \mathcal{H}_{t,c}(\mathbb{C}_n, \mathfrak{h}),
\]

which sends \( y_i \otimes g \otimes x_i \mapsto D_i g x_i \), is an isomorphism of \( \mathbb{C} \)-vector spaces.

There is another useful algebra to consider when studying divided power extensions of \( \mathcal{H}_{t,c}(\mathbb{C}_n, \mathfrak{h}) \). Consider the permutation representation of \( \mathbb{C}_n \) on \( \mathfrak{h} \) and its dual \( \mathfrak{h}^* \), with bases \( y_1, \ldots, y_n \) and \( x_1, \ldots, x_n \) respectively. Consider the subrepresentation \( \mathfrak{I} = \text{Span}\{\gamma_i = y_i - y_1 : 1 < i \leq n\} \) and its dual \( \mathfrak{I}^* = \mathfrak{h}^*/(x_1 + x_2 + \cdots + x_n) \). Let \( \mathcal{J}(\mathfrak{I} \oplus \mathfrak{I}^*) \) be the tensor algebra of \( \mathfrak{I} \oplus \mathfrak{I}^* \).

**Definition 1.6.** \( \mathcal{H}_{t,c}(\mathbb{C}_n, \mathfrak{I}) \) is the \( \mathbb{C} \)-subalgebra of \( \text{End}(\text{Sym}(\mathfrak{I}^*)) \) generated by \( \mathfrak{I}^*, \mathbb{C}_n \) and \( D_i - D_1 \).

**Proposition 1.7.** The algebra \( \mathcal{H}_{t,c}(\mathbb{C}_n, \mathfrak{I}) \) is the quotient of \( \mathcal{J}(\mathfrak{I} \oplus \mathfrak{I}^*) \otimes \mathbb{C}[\mathfrak{g}] \) by the relations:

\[
[x_i, x_j] = 0, \quad [\gamma_i, y_j] = 0, \quad [\gamma_i, x_j] = t - c s_{ji} - c \sum_{k \neq i} s_{ik}, \quad [\gamma_i, x_k] = c s_{ik} - c s_{ik} \quad \text{for } k \neq i, 1
\]

The algebras \( \mathcal{H}_{t,c}(\mathbb{C}_n, \mathfrak{h}) \) and \( \mathcal{H}_{t,c}(\mathbb{C}_n, \mathfrak{I}) \) are related in the following way. Let \( z_1 = y_1 - y_2, z_2 = y_2 - y_3, \ldots, z_{n-1} = y_{n-1} - y_n \) and \( Z = y_1 + \cdots + y_n \). Let \( w_1 = x_1 - x_2, w_2 = x_2 - x_3, \ldots, w_{n-1} = x_1 - x_n \) and \( W = x_1 + \cdots + x_n \). Note
that \([Z, x_i] = t\) and \([W, y_j] = -t\), it follows that \([Z, w_i] = [W, z_i] = 0\). Also \([Z, W] = n\). Furthermore, \([\sigma, Z] = [\sigma, W] = 0\) for all \(\sigma \in \mathfrak{S}_n\). So we have two subalgebras, one generated by \(z_1, \ldots, z_{n-1}, w_1, \ldots, w_{n-1}\) and \(\mathfrak{S}_n\) and the other generated by \(Z\) and \(W\). The first algebra is isomorphic to \(\mathcal{H}_{t,c}(\mathfrak{S}_n, I)\), and the second algebra is isomorphic to \(\mathbb{C}[q, \partial_q]\), the subalgebra of \(\text{End}(\mathbb{C}[q])\) generated by \(q\) and \(\frac{\partial}{\partial q}\) for some formal variable \(q\). By the PBW theorem, it follows that

\[
\mathcal{H}_{t,c}(\mathfrak{S}_n, \mathfrak{h}) \cong \mathcal{H}_{t,c}(\mathfrak{S}_n, I) \otimes \mathbb{C}[q, \partial_q].
\]

Another algebra to consider is the spherical subalgebra of \(\mathcal{H}_{t,c}(\mathfrak{S}_n, \mathfrak{h})\), denoted by \(\mathcal{B}_{t,c}(\mathfrak{S}_n, \mathfrak{h})\).

**Definition 1.8.** Let \(e_+ \in \mathbb{C}[\mathfrak{S}_n]\) be the symmetrizer, \(e_+ = \frac{1}{n!} \sum_{\sigma \in \mathfrak{S}_n} \sigma\). Let \(e_-\) be the antisymmetrizer, \(e_- = \frac{1}{n!} \sum_{\sigma \in \mathfrak{S}_n} \text{sgn}(\sigma)\sigma\) where \(\text{sgn}(\sigma)\) is the sign of a permutation.

Note: \(e_+^2 = e_+\) and \(e_-^2 = e_-\).

**Definition 1.9.** The spherical subalgebra of \(\mathcal{H}_{t,c}(\mathfrak{S}_n, \mathfrak{h})\) is

\[
\mathcal{B}_{t,c}(\mathfrak{S}_n, \mathfrak{h}) = e_+ \mathcal{H}_{t,c}(\mathfrak{S}_n, \mathfrak{h}) e_+.
\]

Let \(\mathcal{B}_{t,c}(\mathfrak{S}_n, I) = e_+ \mathcal{H}_{t,c}(\mathfrak{S}_n, I) e_+\).

Note that \(e_+(\mathcal{D}(\mathfrak{h}_{\text{reg}}) \otimes \mathbb{C}[\mathfrak{S}_n]) e_+ = \mathcal{D}(\mathfrak{h}_{\text{reg}}) \mathfrak{S}_n\), i.e. the \(\mathfrak{S}_n\)-invariant subspace of \(\mathcal{D}(\mathfrak{h}_{\text{reg}})\). This means that \(\mathcal{B}_{t,c}(\mathfrak{S}_n, \mathfrak{h}) \subset \mathcal{D}(\mathfrak{h}_{\text{reg}}) \mathfrak{S}_n\). Since \(\mathfrak{S}_n\) acts trivially on \(\mathbb{C}[q, \partial_q]\), we have the decomposition

\[
\mathcal{B}_{t,c}(\mathfrak{S}_n, \mathfrak{h}) \cong \mathcal{B}_{t,c}(\mathfrak{S}_n, I) \otimes \mathbb{C}[q, \partial_q].
\]

**1.3. Divided power extensions.** We could not find a definition of divided powers in the existing literature which worked for our purposes, so we have developed our own framework.

Let \(R\) be an integral domain of characteristic zero, with \(R^\times \cap \mathbb{Z} = \{\pm 1\}\), and let \(V\) be a free \(R\)-module. Note that we have a canonical embedding \(\text{End}_R(V) \hookrightarrow \text{End}_{R \otimes \mathbb{Q}}(V \otimes \mathbb{Q})\).

**Definition 1.10.** For any submodule \(A \subset \text{End}_R(V)\), the maximal divided power extension of \(A\), denoted \(A^{D,p}\), is the submodule of \(\text{End}_{R \otimes \mathbb{Q}}(V \otimes \mathbb{Q})\) given by:

\[
A^{D,p} = (A \otimes \mathbb{Q}) \cap \text{End}_R(V) \subset \text{End}_{R \otimes \mathbb{Q}}(V \otimes \mathbb{Q})
\]

Note that \(A^{D,p}\) is an \(R\)-module, and if \(A\) is an \(R\)-algebra, then \(A^{D,p}\) is an \(R\)-algebra as well. Another insightful definition of \(A^{D,p}\) arises through the notion of divisibility of an operator.

**Definition 1.11.** For some operator \(f \in \text{End}_R(V)\), and integer \(n \in \mathbb{Z}_{\geq 1}\), we say that \(n\) divides \(f\) if \(f \otimes (1/n) \in \text{End}_R(V)\). We write \(n|f\).

The following definition is often easier to use than Definition 1.10.

\[\text{Unless stated otherwise, all tensor products are assumed to be taken over } \mathbb{Z}.\]
Proposition 1.12. \( A^{D_p} = \{ f \otimes (1/n) : f \in A, n \in \mathbb{Z}_{\geq 1}, n | f \} \).

Proof. This follows from the fact that every \( a \in A \otimes \mathbb{Q} \) can be uniquely expressed as \( f \otimes (1/n) \), for some \( f \in A \) and \( n \in \mathbb{Z} \).

Now we can show how this notion of divided power extensions applies to representation theory in characteristic \( p \).

Suppose we had some faithful representation \( \psi : A \to \text{End}_R(V) \). The naive reduction modulo \( p \) gives a representation

\[ A \otimes \mathbb{F}_p \to \text{End}_{R \otimes \mathbb{F}_p}(V \otimes \mathbb{F}_p). \]

The center of \( A \otimes \mathbb{F}_p \) can become large in characteristic \( p \). Since central operators may act trivially on \( V \otimes \mathbb{F}_p \), this can become problematic. If we instead take the divided power extension, we have a representation

\[ A^{D_p} \otimes \mathbb{F}_p \to \text{End}_{R \otimes \mathbb{F}_p}(V \otimes \mathbb{F}_p). \]

To see why this representation is faithful, suppose the image of \( Q \otimes 1 \) was zero. Then \( Q = p^nL \) for some \( n \geq 1 \) and \( L \in A^{D_p} \) such that \( L \otimes 1 \neq 0 \) in \( A^{D_p} \otimes \mathbb{F}_p \). This means that \( Q \otimes 1 = 0 \) in \( A^{D_p} \otimes \mathbb{F}_p \), so the map is injective. In the cases when \( \mathbb{R} \cap \mathbb{Z} = \{ \pm 1 \}, A^{D_p} \otimes \mathbb{F}_p \) contains a nonzero scaled copy of each nonzero operator in \( A \). This can make the representation theory of \( A^{D_p} \) richer than that of \( A \) in characteristic \( p \).

When computing maximal divided power extensions of a ring, it often helps to decompose the ring into smaller pieces for which the maximal divided power extensions are already known.

Proposition 1.13. Suppose we have a family \( \{ A_i \}_{i \in I} \) of \( R \)-submodules of \( \text{End}_R(V) \) and suppose that for any \( a_i \in A_i \), \( d \mid \sum_{i \in I} a_i \) in \( \text{End}_R(V) \) implies that \( d | a_i \) for all \( i \in I \). Then, in \( \text{End}_{R \otimes \mathbb{Q}}(V \otimes \mathbb{Q}) \), we have \( \left( \bigoplus_{i \in I} A_i \right)^{D_p} = \bigoplus_{i \in I} A_i^{D_p} \).

Note: The above divisibility condition implies that the sum \( \bigoplus_{i \in I} A_i \) is direct.

Proof. For any \( Q = \sum_{i \in I} a_i \), if \( d | Q \) then by assumption, \( d | a_i \) for all \( i \in I \) so

\[ Q = \sum_{i \in I} a_i \frac{a_i}{d} \in \bigoplus_{i \in I} A_i^{D_p}. \]

So \( \left( \bigoplus_{i \in I} A_i \right)^{D_p} \subset \bigoplus_{i \in I} A_i^{D_p} \). Conversely, if \( Q = \sum_{i \in I} a_i \frac{a_i}{d_i} \in \bigoplus_{i \in I} A_i^{D_p} \) we have

\[ Q = \frac{\sum_{i \in I} a_i \prod_{j \in I,j \neq i} d_j}{\prod_{i \in I} d_i}. \]

So \( Q \in \left( \bigoplus_{i \in I} A_i \right)^{D_p} \) and \( \left( \bigoplus_{i \in I} A_i \right)^{D_p} \supset \bigoplus_{i \in I} A_i^{D_p} \). This concludes the proof.

Proposition 1.14. Let \( V \) and \( W \) be free \( R \) modules. Suppose that \( A = \bigoplus_{i \in I} A_i \subset \text{End}_R(V) \) and \( B = \bigoplus_{j \in J} B_j \subset \text{End}_R(W) \) satisfy the divisibility condition of Proposition 1.13, and suppose that \( A_i, B_j, A_i^{D_p}, B_j^{D_p} \) are all rank one modules. Then in
End_{R \otimes \mathbb{Q}}(V \otimes W \otimes \mathbb{Q}),
\quad (A \otimes_{R} B)^{dp} = A^{dp} \otimes_{R} B^{dp}.

**Proof.** We claim that for any \(a_{i} \in A_{i}, b_{j} \in B_{j}\), whenever \(d|\sum_{(i,j) \in I \times J} a_{i} \otimes b_{j}\) then \(d|a_{i} \otimes b_{j}\). Let \(x_{i}\) be the basis element for \(A_{i}^{dp}\) and let \(y_{j}\) be the basis element for \(B_{j}^{dp}\). Write \(a_{i} \otimes b_{j} = k_{ij}x_{i} \otimes y_{j}\). If \(d|\sum_{(i,j) \in I \times J} a_{i} \otimes b_{j}\), by definition there exists \(q_{ij}\) such that \(\sum_{(i,j) \in I \times J} k_{ij}x_{i} \otimes y_{j} = d\sum_{(i,j) \in I \times J} q_{ij}x_{i} \otimes y_{j}\). This implies \(\sum_{(i,j)}(k_{ij} - dq_{ij})(x_{i} \otimes y_{j}) = 0\). By linear independence, \(k_{ij} = dq_{ij}\) and so \(d|a_{i} \otimes b_{j}\).

Next we claim that \((A_{i} \otimes_{R} B_{j})^{dp} = A_{i}^{dp} \otimes_{R} B_{j}^{dp}\). To show \(A_{i}^{dp} \otimes_{R} B_{j}^{dp} \subseteq (A_{i} \otimes_{R} B_{j})^{dp}\), let \(\frac{a}{d} \otimes \frac{b}{k} \in A_{i}^{dp} \otimes_{R} B_{j}^{dp}\) for some \(a_{i} \in A_{i}, b_{j} \in B_{j}\), and \(k, d \in \mathbb{Z}_{>0}\). Then
\[
\frac{a_{i}}{d} \otimes \frac{b_{j}}{k} = \frac{a_{i}k_{j} \otimes b_{j}d_{i}}{dk} \in (A_{i} \otimes_{R} B_{j})^{dp}.
\]
Now to show that \((A_{i} \otimes_{R} B_{j})^{dp} \subseteq A_{i}^{dp} \otimes_{R} B_{j}^{dp}\), suppose \(d|a_{i} \otimes b_{j} = k_{ij}x_{i} \otimes y_{j}\) for some \(d \in \mathbb{Z}_{\geq 1}\). For an operator \(f\) on some space \(Z\), let \(N_{f} = \{n : n|f(z)\text{ for some }z \in Z\}\). Note that \(N_{x_{i}} \cdot N_{y_{j}} \subseteq N_{x_{i} \otimes y_{j}}\). We claim that \(\gcd(N_{x_{i}}) = 1\). Indeed, if \(d | N_{x_{i}}\) for some \(d \in \mathbb{Z}_{>0}\) then \(\frac{1}{d}x_{i} \in A_{i}^{dp}\) and so \(\frac{1}{d} \in \mathbb{R}\), a contradiction unless \(d = 1\). The same argument shows that \(\gcd(N_{y_{j}}) = 1\).

We claim that \(\gcd(N_{x_{i} \otimes y_{j}}) = 1\). Indeed if \(d | N_{x_{i} \otimes y_{j}}\), then \(d | N_{x_{i}} \cdot N_{y_{j}}\). Pick some \(\ell \in N_{x_{i}}\). Then \(d | \ell N_{x_{i}}\), but since \(\gcd(\ell N_{x_{i}}) = \ell\) it follows that \(d | \ell\). Since \(\ell\) was arbitrary, \(d | N_{x_{i}}\), which implies that \(d = 1\). Now since \(d | k_{ij}x_{i} \otimes y_{j}\), we have \(d | k_{ij}N_{x_{i} \otimes y_{j}}\), so by the previous argument, \(d | k_{ij}\). So
\[
\frac{a_{i} \otimes b_{j}}{d} = \frac{k_{ij}x_{i} \otimes b_{j}}{d} = \frac{k_{ij}}{d}x_{i} \otimes b_{j} \in A_{i}^{dp} \otimes_{R} B_{j}^{dp}.
\]
Now to combine the above claims, by Proposition 1.13 we have
\[
(A \otimes_{R} B)^{dp} = \left( \bigoplus_{(i,j) \in I \times J} A_{i} \otimes_{R} B_{j} \right)^{dp} = \bigoplus_{(i,j) \in I \times J} (A_{i} \otimes_{R} B_{j})^{dp}.
\]
However,
\[
\bigoplus_{(i,j) \in I \times J} (A_{i} \otimes_{R} B_{j})^{dp} = \bigoplus_{(i,j) \in I \times J} A_{i}^{dp} \otimes_{R} B_{j}^{dp} = A^{dp} \otimes_{R} B^{dp}.
\]
This completes the proof. \(\square\)

**1.4. Polynomial differential operators.** To show a known example of divided power extensions, we consider the integral Weyl algebra
\[
W(\mathbb{Z}) = \mathbb{Z}(x, y)/(yx - xy - 1)
\]
Proposition 1.16 (Newton’s Interpolation Formula). Define the zeroth order forward difference operator as \( \Delta^0 f(n) = f(n) \), and define the higher order operators as \( \Delta^k f(n) = \Delta^{k-1} f(n + 1) - \Delta^{k-1} f(n) \). Let \( f(t) \) be a polynomial. Then \( f(t) = \sum_{k \geq 0} \binom{t}{k} \Delta^k f(0) \).

Lemma 1.17. Let \( f \) be some integer-valued polynomial, and write
\[
   f(n) = \sum_{k \geq 0} \alpha_k \binom{n}{k}
\]
for some integer coefficients \( \alpha_k \). If \( d \mid f(n) \) for all \( n \in \mathbb{Z}_{\geq 0} \), then \( d \mid \alpha_k \) for all \( k \geq 0 \).

Proof. Suppose \( f(n) \equiv 0 \mod d \) for all \( n \). Let \( N = \deg f \), so \( \alpha_n = 0 \) whenever \( n > N \). By Newton’s Interpolation formula, \( LA = F \equiv 0 \mod d \) where \( (L)_{ij} = \binom{i}{j} \) is the \((N + 1) \times (N + 1)\) lower triangular Pascal matrix, \( A = (\alpha_0, ..., \alpha_N) \), and \( F = (f(0), ..., f(N)) \). Note that \( \det L = 1 \). Multiplying both sides by \( L^{-1} \), we get that \( \alpha_k \equiv 0 \mod d \) for all \( 0 \leq k \leq N \). It follows that \( \alpha_k \equiv 0 \mod d \) for all \( k \geq 0 \).

The above lemma implies the following classical result.

Proposition 1.18 (Newton). Let \( \text{Int}(\mathbb{Z}[x]) = \{ f \in \mathbb{Q}[x] : f(\mathbb{Z}) \subseteq \mathbb{Z} \} \). Then \( \text{Int}(\mathbb{Z}[x]) \) is a free \( \mathbb{Z} \)-module generated by the polynomials \( \binom{x}{k} \).

Proposition 1.19. For any \( n \geq 0 \), let \( D[n] = \mathbb{Z}[x] \) and for \( n < 0 \), let \( D[n] = P_{-n}(x) \mathbb{Z}[x] \). Consider the map \( \psi_n : D[n] \to \text{End}(\mathbb{Z}[x]) \) where \( f(x) \in D[n] \) is sent to the operator which acts on \( x^i \) by sending it to \( f(i)x^{i+n} \). There is an isomorphism of \( \mathbb{Z} \)-modules, \( \psi : \bigoplus_{n \in \mathbb{Z}} D[n] \to \mathbb{Z}[x, \partial_x] \), where \( \psi[D[n]] = \psi_n \) for all \( n \in \mathbb{Z} \).

Proof. Consider the \( \mathbb{Z} \)-grading on \( \mathbb{Z}[x, \partial_x] \) given by \( \partial_x \mapsto -1 \) and \( x \mapsto 1 \). Let \( P[n] \) be the set of homogeneous elements of degree \( n \). Since \( \{x^i \partial_x^j \}_{i,j \geq 0} \) is a basis for \( \mathbb{Z}[x, \partial_x] \) as a \( \mathbb{Z} \)-module, we have an isomorphism \( \mathbb{Z}[x, \partial_x] \cong \bigoplus_{n \in \mathbb{Z}} P[n] \). We claim that \( \psi_n : D[n] \to P[n] \) is an isomorphism. First, note that \( \text{Im}(\psi_n) \subseteq \)}
$P[n]$. This is clear if $n \geq 0$. Indeed, let $f(x) \in D[n] = \mathbb{Z}[x]$ be some polynomial, say $f(x) = \sum_{i=0}^{d} \alpha_i x^i$. Then

$$
\psi_n(f(x)) = x^n \sum_{i=0}^{d} \alpha_i (x \partial_x)^i \in P[n].
$$

Similarly, if $n < 0$, let $P_{-n}(x)f(x) \in D[n] = P_{-n}(x)\mathbb{Z}[x]$ be arbitrary, with $f(x) = \sum_{i=0}^{d} \alpha_i x^i$. Then $\psi_n(P_{-n}(x)f(x)) = \partial_x^{-n} \psi_0(f(x)) \in P[n]$.

To show surjectivity, we consider the cases $n \geq 0$ and $n < 0$ separately. If $n \geq 0$, this map is surjective, since $\psi_n(P_l(t)) = x^{l+n}\partial_x^l$, and $x^{l+n}\partial_x^l$ generate $P[n]$. If $n < 0$, by the grading, every $Q \in P[n]$ can be expressed as $\partial_x^{-n} L$ for some $L \in P[0]$. So $\psi_n(\ell(x + n)P_{-n}(x)) = Q$ where $\ell(x)$ is the polynomial representing the action of $L$. Since $L \in P[0]$ is arbitrary, it follows that $\text{Im}(\psi_n) = \psi_n(P_{-n}(x)\mathbb{Z}[x]) = P[n]$. So for any $n \in \mathbb{Z}$, the map $\psi_n : D[n] \to P[n]$ is a surjection, hence an isomorphism. We have the desired isomorphism $\psi$ by the definition of direct sum.

\textbf{Definition 1.20.} Let $R$ be an integral domain of characteristic zero, and suppose $A$ is a submodule of $\text{Fun}(\mathbb{Z}, R)$, the $\mathbb{Z}$-module of set-theoretic functions from $\mathbb{Z}$ to $R$. The ring of $R$-valued elements of $A$ is

$$
\text{Int}_R(A) = \{f/d : f \in A, d|f, d \in \mathbb{Z}_{\geq 1}\}.
$$

where $d|f$ if $f/d \in \text{Fun}(\mathbb{Z}, R) \subset \text{Fun}(\mathbb{Z}, R \otimes \mathbb{Q})$. Note that this agrees with our earlier definition of $\text{Int}(\mathbb{Z}[x])$. We write $\text{Int}(A)$ if $R = \mathbb{Z}$.

\textbf{Proposition 1.21.} We have an isomorphism of $\mathbb{Z}$-modules,

$$
\mathbb{Z}[x, \partial_x]^{dp} \cong \bigoplus_{n \in \mathbb{Z}} \text{Int}(D[n]).
$$

In particular, this implies that as a $\mathbb{Z}$-module, $\mathbb{Z}[x, \partial_x]^{dp}$ is spanned by $x^k \partial_x^l$ for all $k, l \geq 0$. Furthermore these are $\mathbb{Z}$-linearly independent.

\textbf{Proof.} To apply Proposition 1.13, we must prove the divisibility condition. So suppose $d| \sum_{n \in \mathbb{Z}} Q_n$ where $\text{deg} Q_n = n$. Then for all $t \geq 0$, we have

$$
d\left( \sum_{n \in \mathbb{Z}} Q_n \right) x^t = \sum_{n \in \mathbb{Z}} f_{Q_n}(t)x^{t+n}.
$$

Therefore $d|f_{Q_n}(t)$ for all $t \geq 0$, and so $d|Q_n$. So by Proposition 1.13, we have the equality $\bigoplus_{n \in \mathbb{Z}} P[n]^{dp} = \mathbb{Z}[x, \partial_x]^{dp}$. Note however that $d|Q \in P[n]$, if and only if $d|q(t) \in D[n]$ for all $t$, where $q(t)$ is the polynomial representing the action of $Q$ on $x^t$. So we have an isomorphism $\psi_n^{dp} : \text{Int}(D[n]) \to P[n]^{dp}$ for each $n$, defined similarly to $\psi_n$. Combining these, we get an isomorphism $\psi^{dp} : \mathbb{Z}[x, \partial_x]^{dp} \cong \bigoplus_{n \in \mathbb{Z}} \text{Int}(D[n])$.

Next we claim that $\mathbb{Z}[x, \partial_x]^{dp}$ is generated by $x^k \partial_x^l$ for all $k, l \geq 0$ as a $\mathbb{Z}$-module. It suffices to consider $\text{Int}(D[n])$, so first assume that $n \geq 0$. By Corollary 1.18, $\text{Int}(\mathbb{Z}[t])$ is generated by $t^k$. So the image of $\text{Int}(D[n])$ in $\mathbb{Z}[x, \partial_x]^{dp}$
is generated by $x^{n+k} D^k x^t$, since $x^{n+k} D^k x^t = \binom{t}{k} x^{t+n}$. If $n < 0$, by Lemma 1.17, $\text{Int}(D[n]) = \text{Int}(P_{-n}(t)Z[t])$ is generated by $\binom{t}{k-n}$ for $k \geq 0$. This is because if $d|P_{-n}(t) \sum_{j \geq 0} \alpha_j P_j(t - n) = \sum_{j \geq 0} \alpha_j(j - n)!(\frac{t}{j})$, then $d|\alpha_j(j - n)!$ for all $j$. This basis for $\text{Int}(P_{-n}(t)Z[t])$ corresponds to $x^k D^k x^{-n}$, where $k \geq 0$. The $\mathbb{Z}$-linear independence follows from linear independence of $\binom{t}{k-n}$ in $\mathbb{Z}[t]$. □

**Corollary 1.22.** The divided power extension $\mathbb{Z}[x, \partial_x]^{DP}$ is a free $\mathbb{Z}[x]$-module, freely spanned by $D^k x$ for $k \geq 0$.

**2. Maximal divided power extensions of $\mathcal{H}_{t,c}(\mathfrak{g}_2, \mathfrak{h})$**

To apply the notion of divided powers to $\mathcal{H}_{t,c}(\mathfrak{g}_2, \mathfrak{h})$, we must introduce an integral version of this algebra. Before we do this, we use the tensor decomposition given in Section 1.2 to reduce the size of the algebra. Let $n = 2$, and consider $\mathcal{H}_{t,c}(\mathfrak{g}_2, \mathfrak{h})$.

This is a subalgebra of $\{x_1 - x_2\}^{-1} \text{Diff}(C[x_1, x_2]) \times C[\mathfrak{g}_2]$ generated by $x_1, x_2, s_{12}, D_1 = t \frac{\partial}{\partial x_1} - c \frac{1}{x_1 - x_2}(1 - s_{12}), D_2 = t \frac{\partial}{\partial x_2} + c \frac{1}{x_1 - x_2}(1 - s_{12})$.

$\mathcal{H}_{t,c}(\mathfrak{g}_2, \mathfrak{h})$ is the subalgebra of $\text{End}(C[x])$ generated by $x$ and $s$ and $t \frac{\partial}{\partial x} - \frac{2c(1-s)}{x^2}$ where $sx = -sx, s^2 = 1$, and $s \frac{\partial}{\partial x} = -\frac{\partial}{\partial x} s$. Here $x = x_1 - x_2$ and $s = s_{12}$. Note that $\mathcal{H}_{t,c}(\mathfrak{g}_2, \mathfrak{h}) \cong \mathcal{H}_{t,c}(\mathfrak{g}_2, \mathfrak{h}) \otimes C[q, \partial_q]$. Recall that by definition, $\mathcal{H}_{t,c}(\mathfrak{g}_2, \mathfrak{h}) \subset \text{End}(C[\mathfrak{h}]) = \text{End}(C[\mathfrak{g}]) \otimes \text{End}(C[q])$, where $q$ is some formal variable. First, note that $\mathcal{H}_{t,c}(\mathfrak{g}_2, \mathfrak{h}) \subset \text{End}(C[\mathfrak{g}])$ and $C[q, \partial_q] \subset \text{End}(C[q])$. These two components decompose into rank one modules by the natural grading, and their divided power extensions similarly decompose by the results of Section 1.4 and Section 2.1. Thus, in the cases when $R^* \cap \mathbb{Z} = \{\pm 1\}$, Proposition 1.14 implies that to study divided power extensions of $\mathcal{H}_{t,c}(\mathfrak{g}_2, \mathfrak{h})$, it suffices to study divided power extensions of $\mathcal{H}_{t,c}(\mathfrak{g}_2, \mathfrak{h})$ and $C[q, \partial_q]$ separately. The conditions of Proposition 1.14 are shown to be satisfied by the results of Section 1.4 and Section 2. Since divided power extensions of $C[q, \partial_q]$ are known (see Section 1.4), we only need to consider $\mathcal{H}_{t,c}(\mathfrak{g}_2, \mathfrak{h})$.

Using the canonical isomorphism $\mathcal{H}_{t,c}(\mathfrak{g}_2, \mathfrak{h}) \rightarrow \mathcal{H}_{t,\lambda,c}(\mathfrak{g}_2, \mathfrak{h})$ for any $\lambda \in C^\times$, we can normalize $t = 0$ or $t = 1$. In this paper, we only consider the case when $t = 1$.

**Definition 2.1.** For any domain of characteristic zero $R$ and $c \in R$, let $H_{1,c}(R)$ be the subalgebra of $\text{End}_R(R[x])$ generated by $e_+, x$ and $D = \frac{\partial}{\partial x} - \frac{2c}{x} e_-$. Note that $e_+ = 1 - e_-$. In particular note that $H_{1,c}(C) = \mathcal{H}_{t,c}(\mathfrak{g}_2, \mathfrak{h})$.

**2.1. Freeness of $H_{1,c}^{DP}(R)$**. In this section, we prove Theorem 2.2.

**Theorem 2.2.** Let $R$ be a PID of characteristic zero. Then for any $c \in R$, $H_{1,c}^{DP}(R)$ is a free $R$-module.
Proof. For now, let $R$ be an arbitrary domain of characteristic zero. For any
$k \geq 0$, consider the polynomials $D^+_k(t) = \prod_{i=0}^{k-1} (2t - i - 2cp_i)$ and $D^-_k(t) = \prod_{i=0}^{k-1} (2t + 1 - i - 2cp_{i+1})$ where $p_i = 0$ if $i$ is even and 1 otherwise. Note that $D^k e_+ x^{2n} = D^k(n)x^{2n-k}$ and $D^k e_- x^{2n+1} = D^k(n)x^{2n+1-k}$. Now consider the $R$-modules

$$H^+[n] = \begin{cases} R[2t] & n \geq 0 \\ D^+_n(t)R[2t] & n < 0 \end{cases} \quad \text{and} \quad H^-[n] = \begin{cases} R[2t+1] & n \geq 0 \\ D^-_n(t)R[2t+1] & n < 0 \end{cases}.$$

Note: We are aware that $R[2t+1] = R[2t]$; this distinction is purely to motivate the connection between these sets and $H_{1,c}(R)$.

We have a $\mathbb{Z}$-grading on $H_{1,c}(R)$, given by $D \mapsto -1$, $x \mapsto 1$ and $e_- \mapsto 0$. By the PBW theorem, there is an isomorphism $H_{1,c}(R) \rightarrow \bigoplus_{n \in \mathbb{Z}} P[n]$ where $P[n]$ is the module of homogeneous elements of $H_{1,c}(R)$ of degree $n$. For all $Q \in H_{1,c}(R)$, we have $Q = Q e_+ + Q e_-$ and $H_{1,c}(R)e_+ \cap H_{1,c}(R)e_- = \{0\}$, so it follows that $P[n] = P[n]e_+ \oplus P[n]e_-$. We claim that $\psi^+_n : H^+[n] \rightarrow P[n]e_+$ and $\psi^-_n : H^-[n] \rightarrow P[n]e_-$ are isomorphisms, where $\psi^+_n$ sends $f(t)$ to the operator which maps $x^k$ to $e_+ f(k)x^{n+k}$. Note that this operator acts by zero on odd powers of $x$ in the $e_+$ case, and by zero on even powers of $x$ in the $e_-$ case. Im$(\psi^+_n) \subset P[n]e_+$ and the surjectivity of these maps follows by a similar argument to the proof of Proposition 1.19, and from the fact that $Q \in P[n]e_+$ for $n < 0$ implies that $Q = LD^n e_+$ for some $L \in P[0]$. Combining these maps gives an isomorphism of $R$-modules:

$$\psi : \bigoplus_{n \in \mathbb{Z}} (H^+[n] \oplus H^-[n]) \sim H_{1,c}(R).$$

We can consider this direct sum as a subring of $\text{End}_R(R[x])$ given by the action of $H^+[n] \oplus H^-[n]$ on $x^n$, defined by

$$(f^+, f^-) x^{2t} = f^+(t)x^{2t+n}, \quad (f^+, f^-) x^{2t+1} = f^-(t)x^{2t+1+n}.$$

Note that by Proposition 1.13 and the argument used in Proposition 1.21, there is an induced isomorphism:

$$\psi^{D^p} : \bigoplus_{n \in \mathbb{Z}} (\text{Int}_R(H^+[n]) \oplus \text{Int}_R(H^-[n])) \sim H^{D^p}_{1,c}(R).$$

So to understand $H^{D^p}_{1,c}(R)$, it suffices to understand $\text{Int}_R(H^+[n])$. By assumption, $R$ is a PID. Since $\text{Int}_R(H^+[n])$ is a submodule of a free module, $R[t]$, it is free. By the isomorphism $\psi^{D^p}$, it follows that $H^{D^p}_{1,c}(R)$ is free as well. \qed
Proposition 2.3. Let \( R \) be a PID, and fix some \( c \in R \). Then there exist coefficients \( \alpha_{i,j,k}^\pm \in R \) and integers \( d_{i,j}^\pm \in \mathbb{Z}_{\geq 1} \), yielding operators
\[
\Delta_{k_1,k_2}^\pm = \begin{cases} 
D_{k_1}^1 \sum_{i=0}^{k_2-1} \alpha_{i,k_1,k_2}^\pm (L^\pm)^i e_\pm & \text{if } k_1 > 0, \\
\prod_{i=0}^{k_2-1} (L^\pm - 2i) \frac{d_{k_1}^\pm}{2^{k_1}k_2!} e_\pm & \text{if } k_1 = 0,
\end{cases}
\]
where \( L^+ = xD \) and \( L^- = xD + 2c - 1 \). Then, the set \( \{\Delta_{n,k}^\pm, x^{n+1}\Delta_{0,k}^\pm\}_{n,k \geq 0} \) is a basis for \( H_{1,c}^{DP}(R) \).

Note: \( \alpha_{i,j,k}^\pm \) and \( d_{i,j}^\pm \) depend heavily on the choice of \( c \in R \), and it is possible to explicitly calculate them in some cases for a choice of \( c \in R \). For our purposes, we only need to show their existence.

Proof. To obtain this basis for \( H_{1,c}^{DP}(R) \), we first construct a basis for
\[
\bigoplus_{n \in \mathbb{Z}} (\text{Int}_R(H^+[n]) \oplus \text{Int}_R(H^-[n])).
\]
First suppose \( n \geq 0 \). In this case, \( H^+[n] = R[2t] \), and so the binomial coefficients \( \binom{i}{k} \) form a basis for \( \text{Int}_R(H^+[n]) \). Since for every \( k \geq 0 \),
\[
\psi^{DP}_{|\text{Int}_R(H^+[n])} \binom{i}{k} = x^n \Delta_{0,k}^\pm,
\]
it can be shown that \( \{x^n \Delta_{0,k}^\pm\}_{n,k \geq 0} \) spans the set of non-negatively graded operators in \( H_{1,c}^{DP}(R) \).

Now suppose \( n < 0 \). It follows that \( \text{Int}_R(H^-[n]) \) has a basis of the form
\[
\left\{ \frac{1}{d_{-n,k}^\pm} D_{-n,k}^\pm(2t) \sum_{i=0}^{k-1} \alpha_{-,i,-n,k}^\pm (2t)^i \right\}_{k \geq 0}.
\]
Since
\[
\psi^{DP}_{|\text{Int}_R(H^-[n])} \left( \frac{1}{d_{-n,k}^\pm} D_{-n,k}^\pm(2t) \sum_{i=0}^{k-1} \alpha_{-,i,-n,k}^\pm (2t)^i \right) = \Delta_{-n,k}^\pm,
\]
it follows that \( \{\Delta_{n,k}^\pm\}_{n,k \geq 0} \) spans the set of negatively graded operators in \( H_{1,c}^{DP}(R) \), completing the proof.

2.2. Basis for \( H_{1,c}^{DP}(R[c]) \). In this section, we will prove a similar result for \( H_{1,c}^{DP}(R[c]) \). In this case, we can even construct a basis for \( H_{1,c}^{DP}(R[c]) \) as an \( R[c] \)-module.

Theorem 2.4. For any integers \( k_1, k_2 \geq 0 \), consider the operators
\[
\Delta_{k_1,k_2}^+ = \frac{D^{k_1} \prod_{i=0}^{k_2-1} (xD - 2(i + m_1(k_i)))}{2^{m_1(k_i) + k_2} (m_1(k_i) + k_2)!} e_+,
\]
\[ \Delta_{k_1, k_2} = \frac{D_{k_1}^k \prod_{i=0}^{k_2-1} (xD + 2c - 1 - 2(i + m_0(k_i)))}{2^{m_0(k_1)+k_1}(m_0(k_1) + k_2)!} e_-, \]

where \( m_\delta(k_1) = \left\lceil \frac{k_1 + \delta}{2} \right\rceil \) for \( \delta = 0, 1 \). Then the set \( \{\Delta_{n,k}^+, x^{n+1} \Delta_{0,k}^+\}_{n,k \geq 0} \) is an \( R[c] \)-basis for \( H_{1,c}^{DP}(R[c]) \).

Recall in the proof of Theorem 2.2, we proved that \( H_{1,c}^{DP}(R) \) is isomorphic to the direct sum \( \bigoplus_{n \in \mathbb{Z}} (\text{Int}_R(H^+[n]) \oplus \text{Int}_R(H^-[n])) \) for any domain \( R \). To prove Theorem 2.4, we make use of this fact by constructing a basis for \( \text{Int}_R(H^+[n]) \).

**Proposition 2.5.** The set of operators \( (q^{DP})^{-1} \left( \{\Delta_{n,k}^+, x^{n+1} \Delta_{0,k}^+\}_{n,k \geq 0} \right) \) is a basis for \( \bigoplus_{n \in \mathbb{Z}} (\text{Int}_R[c](H^+[n]) \oplus \text{Int}_R[c](H^-[n])) \) as an \( R[c] \)-module.

**Proof.** For any \( k \geq 0 \), consider the polynomials

\[ L_k^+(t) = \prod_{i=0}^{m_0(k)-1} (2t - 2i - 1 - 2c), \quad L_k^-(t) = \prod_{i=0}^{m_0(k)-1} (2t - 2i + 1 - 2c). \]

Borrowing notation from the proof of Theorem 2.2, note that

\[ D_k^+(t) = 2^{m_0(k)} m_1(k)! L_k^+(t) \left( \begin{array}{c} t \\ m_1(k) \end{array} \right), \quad D_k^-(t) = 2^{m_0(k)} m_0(k)! L_k^-(t) \left( \begin{array}{c} t \\ m_0(k) \end{array} \right). \]

Also note that the statement of the proposition is equivalent to the following four statements:

1. The set \( \left\{ \begin{array}{c} t \\ m_1(k) \end{array} \right\}_{k \geq 0} \) is an \( R[c] \)-basis for \( \text{Int}_R[c](H^+[n]) \) for \( n \geq 0 \).
2. The set \( \left\{ L_n^+(t) \left( \begin{array}{c} t \\ k+m_0(-(n)) \end{array} \right) \right\}_{k \geq 0} \) is an \( R[c] \)-basis for \( \text{Int}_R[c](H^+[n]) \) for \( n < 0 \).
3. The set \( \left\{ \begin{array}{c} t \\ k \end{array} \right\}_{k \geq 0} \) is an \( R[c] \)-basis for \( \text{Int}_R[c](H^-[n]) \) for \( n \geq 0 \).
4. The set \( \left\{ L_n^-(t) \left( \begin{array}{c} t \\ k+m_0(-(n)) \end{array} \right) \right\}_{k \geq 0} \) is an \( R[c] \)-basis for \( \text{Int}_R[c](H^-[n]) \) for \( n < 0 \).

We will only prove (1) and (2), since (3) and (4) are proved similarly. For (1), assume \( n \geq 0 \) and let \( f(2t) \in R[2t] \). By induction, we can find coefficients \( \alpha_k \in R[c] \) such that \( f(t) = \sum_{k \geq 0} \alpha_k \prod_{i=0}^{k-1} (t - 2i) \). Then \( f(2t) = \sum_{k \geq 0} \alpha_k 2^k k! \left( \begin{array}{c} t \\ k \end{array} \right) \).

By Lemma 1.17, if \( d \mid f(2n) \) for all \( n \) then \( d \mid \alpha_k 2^k k! \). This means that \( f(t) = \sum_{k \geq 0} \frac{\alpha_k 2^k k!}{d} \left( \begin{array}{c} t \\ k \end{array} \right) \). Since \( H^+[n] = R[2t] \) when \( n \geq 0 \), it follows that \( \left\{ \begin{array}{c} t \\ k \end{array} \right\}_{k \geq 0} \) is a basis for \( \text{Int}_R[c](H^+[n]) \).

For (2), assume \( n < 0 \) and let \( m = m_1(-(n)) \). Note that

\[ D_n^+(t) = L_n^+(t) \prod_{i=0}^{m-1} (2t - 2i). \]
Let $f(2t) \in R[2t]$ be arbitrary and suppose
\[ d|D^+_{n}(t)f(t) = L^+_{n}(t)f(t) \prod_{i=0}^{m-1} (2t - 2i). \]

Since $L^+_{n}(t)$ is a primitive polynomial, it follows that $d|f(t) \prod_{i=0}^{m-1} (2t - 2i)$. Writing $f(t) = \sum_{j \geq 0} \alpha_j j! (t - m)$ we have
\[ d|f(t) \prod_{i=0}^{m-1} (2t - 2i) = \sum_{j \geq 0} 2^{m+j} (m+j)! \alpha_j \binom{t}{m+j}. \]

By Lemma 1.17,
\[ \frac{D^+_{n}(t)f(t)}{d} = \frac{L^+_{n}(t)f(t) \prod_{i=0}^{m-1} (2t - 2i)}{d} = \sum_{j \geq 0} 2^{m+j} (m+j)! \alpha_j \binom{t}{m+j}. \]

and the claim follows, since $H^+[n] = D^+_{n}(t)R[2t]$ when $n < 0$. \hfill \box

2.3. Hilbert series for $H^{dp}_{1,c}(R)$.

Definition 2.6. Let $M$ be a module over a domain $R$ and suppose we have a filtration $M = \bigcup_{i \geq 0} M_i$. Let $\text{gr}(M)$ be the associated graded module of $M$ with respect to the filtration, i.e. $\text{gr}(M) = M_0 \oplus \bigoplus_{i \geq 1} (M_i/M_{i-1})$. Let $\text{gr}_n(M)$ be the $n$-th graded component of $\text{gr}(M)$. The Hilbert series of $M$ is defined as
\[ \text{HS}_M(z) = \sum_{n \geq 0} \dim R(\text{gr}_n(M))z^n. \]

In the following proposition, we show that the Hilbert series of the rational Cherednik algebra of type $A_1$ remains unchanged after the divided power extension construction.

Proposition 2.7. Let $R$ be a PID. Then:

(1) $\text{HS}_{H_{1,c}(R)}(z) = \frac{2}{(1-z)^2}$.

(2) $\text{HS}_{H^{dp}_{1,c}(R)}(z) = \frac{2}{(1-z)^2}$.

(3) For any $c \in R$, $\text{HS}_{H^{dp}_{1,c}(R)}(z) = \frac{2}{(1-z)^2}$.

Proof. (1) immediately follows from the PBW Theorem, since $H_{1,c}(R)$ is generated by elements of the form $x^e D^k e_{\pm}$. This implies that
\[ \dim R(\text{gr}_n(H_{1,c}(R))) = 2(n+1). \]

(2) follows from a similar argument, since by Theorem 2.4,
\[ \dim R(c)(\text{gr}_n(H_{1,c}(R))) = 2(n+1). \]
(3) is the same as (2), since Proposition 2.3 shows that the basis for $H_{1,c}^{DP}(R)$ has the same degree as the basis for $H_{1,c}^{DP}(R[c])$.

\[ \Box \]

2.4. The Lie algebra $\mathfrak{sl}_2$.

Definition 2.8. A triple of operators $E, H, F$ is said to be an $\mathfrak{sl}_2$-triple if:
\begin{itemize}
  \item $[H, E] = 2E$
  \item $[H, F] = -2F$
  \item $[E, F] = H$
\end{itemize}

Proposition 2.9. In $H_{1,c}(R[c])$ let $H = (xD + \frac{1-2c}{2})e_+, E = -\frac{1}{2}x^2e_+$, and $F = \frac{1}{2}D^2e_+$. Then the triple of operators $E, H, F$ is an $\mathfrak{sl}_2$-triple. It follows that $e_+H_{1,c}(R[c])e_+$ is isomorphic to a quotient of $\mathcal{U}(\mathfrak{sl}_2)$ by the central character $\langle C + \frac{(1-2c)(3+2c)}{8} \rangle$, where $C$ is the Casimir operator $C = EF + FE + \frac{H^2}{2}$.

This map suggests a divided power structure on this quotient of $\mathcal{U}(\mathfrak{sl}_2)$. An immediate corollary to Theorem 2.2 states:

Corollary 2.10. The set $\{\Delta_{2n,k}^+ x^{2n+2k} \}_{n,k \geq 0}$ is an $R[c]$-basis for the spherical subalgebra $e_+H_{1,c}^{DP}(R[c])e_+$.

Writing this basis in terms of the $\mathfrak{sl}_2$-triple gives us a basis for a divided power structure on $\mathcal{U}(\mathfrak{sl}_2)$. Let
\[
\Sigma_{a,b,c} = \frac{(-2E)^a(2F)^b \prod_{i=0}^{c-1} \left( H - \frac{1-2c}{2} - 2(i + m_1(2b)) \right)}{2^{m_1(2b)+c}(m_1(2b) + c)!} \in \mathcal{U}(\mathfrak{sl}_2(Q)).
\]
Then the set $\{ \Sigma_{0,n,k}, \Sigma_{n+1,0,k} \}_{n,k \geq 0}$ is a basis for a divided power structure on a quotient of $\mathcal{U}(\mathfrak{sl}_2)$.

Note: This basis of divided powers is different from the basis given in [12]. Indeed the basis given there is symmetric, containing both divided powers of $E$ and $F$. Our divided power extension contains no divided powers of $E$ (indeed the denominator above does not depend on $a$ at all), but it has more divided powers of $F$.

3. Abstract construction of $H_{1,c}^{DP}(R)$

In this section, we prove Theorem 3.7 which takes some setup to properly state.

3.1. Grothendieck differential operators. Before we state the main theorem, we recall a purely algebraic notion of differential operators due to Grothendieck. The results of this section can be found in [8].

Definition 3.1 (Grothendieck Differential Operators). Let $R \subset A$ be a pair of commutative rings. For any $a \in A$, let $\alpha$ be the “multiplication by $a$” operator on $A$. We define the $R$-linear differential operators on $A$ of order at most $i$, denoted $\text{Diff}_R(A)^i$ inductively in $i$. 
• \( \text{Diff}_R(A)^0 = \text{Hom}_A(A, A) = \{ \overline{a} : a \in A \} \)

• \( \text{Diff}_R(A)^i = \{ f \in \text{Hom}_R(A, A) : [f, \overline{a}] \in \text{Diff}_R(A)^{i-1}, \forall a \in A \} \)

Let \( \text{Diff}_R(A) = \bigcup_{i=0}^{\infty} \text{Diff}_R(A)^i \subset \text{Hom}_R(A, A) \) be the algebra of differential operators of \( A \) over \( R \). When \( R \) is clear, we simply write \( \text{Diff}(A) \) to denote \( \text{Diff}_R(A) \).

For the results in Section 3.2, it suffices to consider differential operators of a polynomial algebra. The following results describe the structure of the ring of differential operators completely.

**Definition 3.2.** For any \( \lambda \in \mathbb{N}^n \) let \( \delta^\lambda \) be the Hasse derivative, i.e. the \( R \)-linear operator on \( R[x_1, \ldots, x_n] \) given on the basis by

\[
\delta^\lambda (x_1^{\beta_1} \cdots x_n^{\beta_n}) = \left( \begin{array}{c} \beta_1 \\ \vdots \\ \beta_n \end{array} \right) \cdot \left( \begin{array}{c} 1 \\ \vdots \\ 1 \end{array} \right) = (\beta_1 - \lambda_1) \cdots (\beta_n - \lambda_n).
\]

In rings where \( \lambda_1! \cdots \lambda_n! \in R^\times \), \( \delta^\lambda = \frac{1}{\lambda_1! \cdots \lambda_n!} \frac{\partial^{\beta_1}}{\partial x_1^{\beta_1}} \cdots \frac{\partial^{\beta_n}}{\partial x_n^{\beta_n}} \).

**Proposition 3.3.** Let \( A = R[x_1, \ldots, x_n] \). Then \( \text{Diff}_R(A) = \bigoplus_{\lambda \in \mathbb{N}^n} A \delta^\lambda \), where multiplication is given by composition of operators.

Since we are dealing with differential operators defined on a punctured line, we need to consider rings of differential operators over localized polynomial rings as well.

**Proposition 3.4.** Let \( R \subset A \) be rings where \( A \) is finitely generated over \( R \). Let \( W \subset A \) be a multiplicative subset. Then \( W^{-1} \text{Diff}_R(A)^i \cong \text{Diff}_R(W^{-1}A)^i \).

**Corollary 3.5.** \( \text{Diff}_R(R[x_1^{\pm 1}, \ldots, x_n^{\pm 1}]) = \bigoplus_{\lambda \in \mathbb{N}^n} R[x_1^{\pm 1}, \ldots, x_n^{\pm 1}] \delta^\lambda \). In this latter ring, multiplication is given by composition of operators.

### 3.2. Abstract construction

In this section, we would like to naturally define the ring \( H^{DP}_{1,c}(R[c]) \) as a space of differential operators preserving some sets of the form \( x^k |x|^r R[x] \), for some \( k \in \mathbb{Z} \) and \( r \in R \). Here \( |x|^r \) is fixed by the action of \( \mathfrak{S}_2 \), and \( \frac{\partial}{\partial x} |x|^r = rx|x|^{r-2} \). We will denote this ring as \( \mathcal{H}_c(R) \), and its definition should be purely algebraic, similar to the definition of \( \text{Diff}_R(A) \). First, we need a nice space of differential operators to work in.

**Definition 3.6.** For any domain of characteristic zero \( R \), let \( \mathfrak{D}(R) \) be the ring

\[
\mathfrak{D}(R) = \text{Diff}_R(R[x^{\pm 1}]) \otimes_R \mathfrak{S}(R)
\]

where \( \mathfrak{S}(R) = \text{Re}_+ \oplus \text{Re}_- \) is the ring acting on \( R[x^{\pm 1}] \) the canonical way. Note that \( \mathfrak{D}(R) = (\text{Diff}_R(R[x^{\pm 1}] \times R[\mathfrak{S}_2]))^{DP} \).

Our main theorem of the section can then be stated:

**Theorem 3.7.** For a domain of characteristic zero \( R \) and \( c \in R \), consider

\[
\mathcal{H}_c(R) = \{ Q \in \mathfrak{D}(R) : Q \text{ fixes } R[x] \text{ and } x^{-1}|x|^{1+2c} R[x] \}
\]

Then, \( \mathcal{H}_c(R) \cong H^{DP}_{1,c}(R) \) if \( c \notin \frac{1}{2} + \mathbb{Z} \).
To prove this theorem, it is useful to decompose $H_{1,c}(R[c])$ in the following way:

$$H_{1,c}(R[c]) \cong e_+ H_{1,c}(R[c]) e_+ \oplus e_+ H_{1,c}(R[c]) e_- \oplus e_- H_{1,c}(R[c]) e_+ \oplus e_- H_{1,c}(R[c]) e_-$$

Expressing each of these summands in a similar way to $\mathcal{H}_c(R)$ helps with the proof. Note that $e_+ H_{1,c}^{dp}(R)e_\pm = (e_\pm H_{1,c}(R)e_\pm)^{dp}$, where $e_\pm$ can be either $e_+$ or $e_-$. 

**Definition 3.8.** For a domain of characteristic zero $R$ and $c \in R$, consider the following sets:

- $\mathcal{B}_c(R) = \{Q \in e_+ \mathfrak{D}(R)e_+ : Q \text{ fixes } R[x], \text{ } Q \text{ fixes } |x|^{1+2c} R[x]\}$.
- $\mathcal{B}_c(R) = \{Q \in e_- \mathfrak{D}(R)e_- : x^{-1}Qx \text{ fixes } R[x], xQx^{-1} \text{ fixes } |x|^{1+2c} R[x]\}$.
- $\mathcal{A}_c(R) = \{Q \in e_+ \mathfrak{D}(R)e_+ : Q \text{ fixes } R[x], \text{ } Q \text{ fixes } |x|^{1+2c} R[x]\}$.
- $\mathcal{A}_c(R) = \{Q \in e_- \mathfrak{D}(R)e_- : xQx^{-1} \text{ fixes } |x|^{1+2c} R[x]\}$.

**Proposition 3.9.** If $c \not\in \frac{1}{2} Z$ then $\mathcal{B}_c(R) = e_+ H_{1,c}^{dp}(R)e_+$, $\mathcal{B}_c(R) = e_- H_{1,c}^{dp}(R)e_-$, $\mathcal{A}_c(R) = e_+ H_{1,c}^{dp}(R)e_+$, and $\mathcal{A}_c(R) = e_- H_{1,c}^{dp}(R)e_-$. 

**Proof.** We will only prove the first equality, $\mathcal{B}_c(R) = e_+ H_{1,c}^{dp}(R)e_+$, the rest follow similarly. First, we show that $e_+ H_{1,c}^{dp}(R)e_+ \subset \mathcal{B}_c(R)$. Let $Q \in e_+ H_{1,c}^{dp}(R)e_+$ be some operator. If we write $Q = \sum_{n \in \mathbb{Z}} Q_n$, where $\deg Q_n = n$, it suffices to check that $Q_n \in \mathcal{B}_c(R)$. So without loss of generality, assume $Q$ is graded of degree $n$. If $n \geq 0$, clearly $Q \in \mathcal{B}_c(R)$. If $n < 0$, then $Q$ can be expressed as $Q = e_+ LD^{-n} e_+/d$ for some $L$ of degree $0$ and $d \in \mathbb{Z}$.

To check that $Q$ fixes $R[x]$ and $|x|^{1+2c} R[x]$, it suffices to check the action of $Q$ on monomials. To start, let's consider the action of $Q$ on $x^k$ for some $k \geq 0$. If $k$ is odd, $Qx^k = 0 \in R[x]$. If $k$ is even, there are two cases. If $k \geq -n$, then $Qx^k = \lambda D_{-n}^{-k}(k)x^{k-n}/d \in R[x]$ since $k+n \geq 0$ (Recall notation from the proof of Theorem 2.2). If $k < -n$, note that $D_{-n}^{-k}(k) = 0$, so $Qx^k = 0 \in R[x]$. A similar thing happens for $|x|^{1+2c} R[x]$, since $D_{-n}^{-k}(k+1+2c) = 0$ for even $k < -n$. This shows that $e_+ H_{1,c}^{dp}(R)e_+ \subset \mathcal{B}_c(R)$.

Next, we show that $\mathcal{B}_c(R) \subset e_+ H_{1,c}^{dp}(R)e_+$. As before, we can assume that $Q$ is graded of degree $n$. Let $f(t)$ be the polynomial representing the action of $Q$, i.e. $Qx^k = f(k)x^{k+n}$. If $n \geq 0$, write $f(t) = \sum_{j \geq 0} \alpha_j t^j$ for some $\alpha_j \in R \otimes Q$. This tensor product with $Q$ arises from the fact that $e_+ \mathfrak{D}(R)e_+ = (e_+ \text{Diff}_R(R[x] \pm e_+)^{dp}$, hence operators might have coefficients in $R \otimes Q$. Then

$$Q = e_+ x^n \sum_{j \geq 0} \alpha_j (xD)^j e_+ \in e_+ H_{1,c}^{dp}(R)e_+.$$ 

Now suppose $n < 0$. Notice that $f(k) = f(k+1+2c) = 0$ for all even $k$ satisfying $0 \leq k < -n$, so $\prod_{i=0}^{n/2-1} (t-2j)(t-2j-1-2c)$ divides $f(t)$. This is exactly the action of the Dunkl operator $D_{-n} e_+$. Also note that this depends on the fact
that \( c \not\in \frac{1}{2} + \mathbb{Z} \), otherwise, the linear factors could overlap. Let \( L(t) = \sum_{j \geq 0} \beta_j t^j \) be the quotient of this division for some \( \beta_j \in R \otimes \mathbb{Q} \). Then

\[
Q = e_+ D^{-n} \sum_{j \geq 0} \beta_j (xD)^j e_+,
\]

completing the proof.

\[\square\]

**Proposition 3.10.** \( \mathcal{H}_c(R) \cong \mathcal{B}_c(R) \oplus \overline{\mathcal{B}_c(R)} \oplus \mathcal{A}_c(R) \oplus \overline{\mathcal{A}_c(R)} \).

**Proof.** Let \( H = \mathcal{B}_c(R) \oplus \overline{\mathcal{B}_c(R)} \oplus \mathcal{A}_c(R) \oplus \overline{\mathcal{A}_c(R)} \). Consider both \( \mathcal{H}_c(R) \) and \( H \) as subrings of \( \text{End}_R(R[x]) \). First we show that \( H \subseteq \mathcal{H}_c(R) \). Let \( Q \in H \) be a graded operator, say \( Q = e_+ Q e_+ + e_- Q e_- + e_+ Q e_- + e_- Q e_+ \). First we show that \( Q \) fixes \( R[x] \). By Proposition 3.9,

\[
Q(R[x]) = e_+ Q e_+(R[x]) + e_- Q e_-(R[x]) + e_+ Q e_-(R[x]) + e_- Q e_+(R[x]) = e_+ Q e_+(R[x]) + e_- Q e_-(xR[x]) + e_+ Q e_- (xR[x]) + e_- Q e_+(R[x]) = e_+ Q e_+(R[x]) + e_- Q e_-(xR[x]) + e_+ Q e_-(xR[x]) + e_- Q e_+(R[x]) \]

\( \subseteq R[x] + R[x] + R[x] + R[x] \subseteq R[x] \)

because \( x^{-1} e_- Q e_-(xR[x]) \subseteq R[x] \) implies that \( e_- Q e_-(xR[x]) \subseteq R[x] \). Let \( y = x^{-1} |x|^{1+2c} \). By Proposition 3.9, we have

\[
Q(yR[x]) = e_+ Q e_+(yR[x]) + e_- Q e_-(yR[x]) + e_+ Q e_-(yR[x]) + e_- Q e_+(yR[x]) = e_+ Q e_+(yR[x]) + e_- Q e_-(yR[x]) + e_+ Q e_-(yR[x]) + e_- Q e_+(yR[x]) \]

\( \subseteq yR[x] + yR[x] + yR[x] + yR[x] \subseteq yR[x] \).

So \( H \subseteq \mathcal{H}_c(R) \). To show that \( \mathcal{H}_c(R) \subseteq H \), suppose \( Q \in \mathcal{H}_c(R) \) is some graded operator. If \( \deg Q \) is even, then \( Q = e_+ Q e_+ + e_- Q e_- \). Since \( Q(R[x]) \subseteq R[x] \), \( Q(R[x]) = e_+ Q e_+(R[x]) + e_- Q e_-(R[x]) \subseteq R[x] \), and \( e_+ Q e_+, e_- Q e_- \) act non-trivially on only even and odd degrees of \( x \) respectively, it follows that \( e_+ Q e_+(R[x]) \subseteq R[x] \) and \( x^{-1} e_- Q e_-(R[x]) \subseteq R[x] \).

Similarly, we can deduce that

\[
e_+ Q e_+(|x|^{1+2c} R[x]) \subseteq |x|^{1+2c} R[x]
\]

and

\[
e_- Q e_-(x^{-1} |x|^{1+2c} R[x]) \subseteq x^{-1} |x|^{1+2c} R[x].
\]

So \( e_+ Q e_+ \in \mathcal{B}_c(R) \) and \( e_- Q e_- \in \overline{\mathcal{B}_c(R)} \). Similarly, in the case when \( \deg Q \) is odd we can show that \( e_+ Q e_- \in \mathcal{A}_c(R) \) and \( e_- Q e_+ \in \overline{\mathcal{A}_c(R)} \). This shows that \( \mathcal{H}_c(R) \subseteq H \), completing the proof.

\[\square\]

To prove Theorem 3.7, note that by Proposition 1.13,

\[
H^{D,P}_{1,c}(R) \cong e_+ H^{D,P}_{1,c}(R)e_+ \oplus e_- H^{D,P}_{1,c}(R)e_+ \oplus e_+ H^{D,P}_{1,c}(R)e_- \oplus e_- H^{D,P}_{1,c}(R)e_+.
\]
If \( c \not\in \frac{1}{2} + \mathbb{Z} \), by Proposition 3.9 and Proposition 3.10, we have

\[
\mathcal{H}_c(R) \cong B_c(R) \oplus B_c(R) \oplus A_c(R) \oplus A_c(R)
\]

\[
\cong e_+ H^{DP}_{1,c}(R)e_+ \oplus e_- H^{DP}_{1,c}(R)e_- \oplus e_+ H^{DP}_{1,c}(R)e_+ \oplus e_- H^{DP}_{1,c}(R)e_+
\]

\[
\cong H^{DP}_{1,c}(R).
\]

This concludes the proof.

### 3.3. The case \( c \in \frac{1}{2} + \mathbb{Z} \).

Interestingly, the case \( c \in \frac{1}{2} + \mathbb{Z} \) appears throughout the theory of Cherednik algebras. In the case of our construction, this exception appears because the polynomial representing the action of the Dunkl operator has multiplicity two zeroes, when our construction can only encode multiplicity one zeroes. A future direction would be to extend our construction of \( \mathcal{H}_c(R) \) so that it works even when \( c \in \frac{1}{2} + \mathbb{Z} \). Pavel Etingof suggested that the construction should preserve an infinite family of subsets of functions in \( \bar{\mathbb{D}} \) involving \( \log(x) \) which converge to some set of functions involving \( |x| \) and \( \log(x) \) as \( c \) approaches a half-integer. This is useful by the following proposition:

**Proposition 3.11.** For \( f(t) \in \mathbb{Z}[t] \) and \( F \in \mathbb{Z}[x, \partial_x] \) the operator mapping \( x^n \) to \( f(n)x^{n+d} \) for some \( d \in \mathbb{Z} \),

\[
F(x^n \log(x)) = \frac{df}{dt}(n)x^{n+d} + f(n)x^{n+d} \log(x).
\]

Here we let \( \partial_x(\log(x)) = \frac{1}{x} \).

So using \( \log(x) \), we can encode information about the multiplicity-two roots about the polynomial which represents the action of the operator. Since the Dunkl operator has roots of at most multiplicity two, there is a construction which should work in all cases.

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