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# <span id="page-0-0"></span>**An infinite families of number fields with fixed indices arising from quintinomials of**  $\tt type x^n + ax^m + bx^2 + cx + d$

# **[Omar Kchit](#page-16-0)**

ABSTRACT. In this paper, for any rational prime  $p$  and for a fixed positive integer  $i_p$ , we provide infinite families of number fields defined by irreducible quintinomials of type  $x^n + ax^m + bx^2 + cx + d \in \mathbb{Z}[x]$  satisfying  $\nu_p(i(K)) = i_p$ . We illustrate our results by some computational examples.

# **CONTENTS**



# **1. Introduction**

Let  $K = \mathbb{Q}(\alpha)$  be a number field of degree *n* with ring of integers  $\mathbb{Z}_K$ , where  $\alpha$ is a primitive integer of K. The index of  $\alpha$ , denoted by ( $\mathbb{Z}_K : \mathbb{Z}[\alpha]$ ), is the index of the Abelian group  $\mathbb{Z}[\alpha]$  in  $\mathbb{Z}_K$ . A well-known formula linking this index with the discriminants is given by:

$$
\Delta(\alpha) = (\mathbb{Z}_K : \mathbb{Z}[\alpha])^2 \cdot d_K, \tag{1.1}
$$

where  $d_K$  is the absolute discriminant of K and  $\Delta(\alpha)$  is the discriminant of the minimal polynomial of  $\alpha$  over Q. The index of K, denoted by  $i(K)$ , is defined as the greatest common divisor of the indices of all primitive integers of  $K$ . Say,  $i(K) = \gcd \{(\mathbb{Z}_K : \mathbb{Z}[\theta]) | K = \mathbb{Q}(\theta) \text{ and } \theta \in \mathbb{Z}_K\}.$  Remark that for a monogenic number field K, the index is trivial;  $i(K) = 1$ . Therefore, a field with a non-trivial index is not monogenic. Dedekind was the first one who discovered a number field with non-trivial index  $([3])$  $([3])$  $([3])$ . In 1930, for every number field K of degree  $n \leq 7$  and every rational prime p, Engstrom established a connection between the prime ideal factorization of  $p\mathbb{Z}_K$  and  $v_p(i(K))$ . This motivated a very

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<span id="page-1-0"></span>important question, stated as problem 22 in Narkiewicz's book ([\[23\]](#page-16-0)), which asks for an explicit formula of the highest power  $\nu_p(i(K))$  for a given rational prime *p* dividing  $i(K)$ . In [\[28\]](#page-16-0), Śliwa extended Engstrom's results to number fields up to degree 12, under the condition that  $p$  is unramified in  $K$ . These results were generalized by Nart  $(24)$ , who developed a p-adic characterization of the index of a number field. In [\[22\]](#page-16-0), Nakahara studied the indices of non-cyclic but abelian biquadratic number fields. In [\[12\]](#page-15-0), Funakura showed that  $i(K) = 1$  or 2 for every pure quartic number field K. In [\[14\]](#page-15-0), Gaál et al. characterized the field indices of biquadratic number fields having Galois group 4 . In [\[29\]](#page-16-0), Spearman and Williams characterized the indices of cyclic quartic number fields. In [\[27\]](#page-16-0), Pethö and Pohst studied the index divisors of multiquadratic number fields. Recently, many authors are interested in the characterization of the prime power decomposition of the indices of number fields, especially those defined by trinomials and quadrinomials of fixed degrees (see [\[2,](#page-15-0) [4,](#page-15-0) [5,](#page-15-0) [6,](#page-15-0) [7,](#page-15-0) [8,](#page-15-0) [9,](#page-15-0) [12,](#page-15-0) [14,](#page-15-0) [19,](#page-16-0) [20,](#page-16-0) [21,](#page-16-0) [22,](#page-16-0) [27,](#page-16-0) [29\]](#page-16-0)). In all the former papers, for a given number field  $K$ , the authors try to calculate the index  $i(K)$ . In contrast, the present paper introduces a new approach. Namely, for every rational prime  $p$  and some natural integers  $i_p$ , we construct infinite families of number fields defined by irreducible quintinomials of type  $x^n + ax^m + bx^2 + cx + d \in \mathbb{Z}[x]$ , with p-indices  $i_p$ , where the p-index of a number field K is defined as the p-valuation of its index. Namely,  $i_p = v_p(i(K))$ . Especially, for the rational prime  $p = 2$ , we provide families of number fields having 2-indices  $i_2 \in \{1, 2, 3, 4, 5\}$ . For every odd rational prime  $p$ , we provide families of number fields with  $p$ -indices  $i_n \in \{1, 2, p-2, p-1, p\}$ . These results present infinite families of number fields defined by quintinomials with large indices independently of the degree of these fields.

# **2. Main results**

We start by Table [1,](#page-2-0) which presents examples where, for some fixed values of *i*, we provide an example of a number field with index  $i(K) = i$ . All these examples are collected from some former papers (see [\[2,](#page-15-0) [4,](#page-15-0) [5,](#page-15-0) [7,](#page-15-0) [8,](#page-15-0) [9,](#page-15-0) [19,](#page-16-0) [20,](#page-16-0) [21\]](#page-16-0)).

Given that for every natural integer *i*,  $i = \prod p^{i_p}$ , in the remainder of this  $\overline{p}$ 

paper, for every rational prime  $p$  and some fixed natural integers  $i_p$ , we provide infinite families of number fields defined by irreducible quintinomials of the form  $F(x) = x^n + ax^m + bx^2 + cx + d \in \mathbb{Z}[x]$  with p-indices  $i_p$ . In each case, we establish sufficient conditions which guarantee that  $\nu_p(i(K)) = i_p$ .

Recall that, for every rational integer  $z \in \mathbb{Z}$ , the  $(x - \overline{z})$ -Taylor expansion of  $F(x)$  is given by the following:

$$
F(x) = \sum_{k=0}^{n} \frac{F^{(k)}(z)}{k!} (x - z)^k
$$
, where *n* is the degree of  $F(x)$ .



<span id="page-2-0"></span>Table 1. Examples of number fields defined by trinomials or quadrinomials with some specific indices

We shall denote  $A_k(z) =$  $F^{(k)}(z)$  $k!$ .

In the remainder,  $K$  is a number field defined by irreducible quintinomials of type  $x^n + ax^m + bx^2 + cx + d \in \mathbb{Z}[x]$ .

For  $p = 2$  and  $i_2 \in \{1, 2\}$ , Theorem 2.1 provides sufficient conditions on  $F(x)$ , which guarantee that each number field of these infinite families has 2-index  $i_2 \in \{1, 2\}.$ 

**Theorem 2.1.** *Table* 2 *provides sufficient conditions which guarantees that*  $\nu_2(i(K)) \in \{1, 2\}.$ 

Table 2. Number fields defined by quintinomials with 2 indices  $i_2 \in \{1, 2\}$ 



**Example 2.2.** *Let*  $K = \mathbb{Q}(\alpha)$  *be a number field defined by the monic irreducible quintinomial*  $F(x) = x^{15} + 6x^{10} + 3x^2 + 18x + 24$ *. Since*  $a \equiv c \equiv d \equiv 0 \pmod{2}$ *,*  $\overline{b} \equiv 1 \pmod{2}$ ,  $\nu_2(d) = 3$ ,  $\nu_2(c) = 1$   $n = 15$ . Then by Theorem 2.1,  $\nu_2(i(K)) = 1$ .

**Example 2.3.** *Let*  $K = \mathbb{Q}(\alpha)$  *be a number field defined by the monic irreducible quintinomial*  $F(x) = x^{22} + 25x^{13} + 10x^2 + 60x + 80$ *. Since*  $a \equiv 1 \pmod{2}$ *,*  $\hat{b} \equiv c \equiv d \equiv 0 \pmod{2}, \nu_2(d) = 4, \nu_2(c) = 2, \nu_2(b) = 1 \text{ and } n \not\equiv m \pmod{2}.$ *Then by Theorem [2.1](#page-2-0),*  $\nu_2(i(K)) = 2$ *.* 

Theorems 2.4 and 2.6 provide infinite families of number fields with 2-indices  $i_2 = 3$ .

**Theorem 2.4.** *Suppose that*  $a \equiv 1 \pmod{2}$  *and*  $b \equiv c \equiv d \equiv 0 \pmod{2}$ *. If the* following conditions simultaneously hold, then  $\nu_2(i(K)) = 3$ .

- $(1)$   $m \ge 4$  *and*  $n m = 2^r$   $(r \ge 2)$ *.*
- (2)  $A_0(1) \equiv 0 \pmod{4}$  *and*  $A_1(1) \equiv 2 \pmod{4}$ .
- (3)  $v_2(d) < 2v_2(c) v_2(b)$  and  $(m-2)v_2(d) > mv_2(b)$ .
- (4)  $\nu_2(d) \neq \nu_2(b)$  (mod 2) *and* gcd(*m* − 2,  $\nu_2(b)$ ) = 1*.*

**Example 2.5.** *Let*  $K = \mathbb{Q}(\alpha)$  *be a number field defined by the monic irreducible quintinomial*  $F(x) = x^{16} + 21x^{12} + 14x^2 + 56x + 28$ *. Since*  $a \equiv 1 \pmod{2}$ *,*  $\overline{b} \equiv c \equiv d \equiv 0 \pmod{2}, \nu_2(d) = 2, \nu_2(c) = 3, \nu_2(b) = 1, \nu_2(A_0(1)) = 3$  and  $\nu_2(A_1(1)) = 1$ . Then by Theorem 2.4,  $\nu_2(i(K)) = 3$ .

**Theorem 2.6.** *Suppose that*  $a \equiv 1 \pmod{2}$  *and*  $b \equiv c \equiv d \equiv 0 \pmod{2}$ *. If the* following conditions simultaneously hold, then  $\nu_2(i(K)) = 3$ .

- (1)  $n m = 3$ .
- (2)  $\nu_2(c) \nu_2(d) < \nu_2(b) \nu_2(c) < \frac{-\nu_2(b)}{m-2}$  $\frac{2}{m-2}$
- (3)  $gcd(m-2, \nu_2(b)) = 3.$

**Example 2.7.** *Let*  $K = \mathbb{Q}(\alpha)$  *be a number field defined by the monic irreducible quintinomial*  $F(x) = x^{23} + 15x^{20} + 72x^2 + 480x + 192$ *. Since*  $a \equiv 1 \pmod{2}$ *,*  $\overline{b} \equiv c \equiv d \equiv 0 \pmod{2}$ ,  $n - m = 3$ ,  $\nu_2(d) = 6$ ,  $\nu_2(c) = 4$ ,  $\nu_2(b) = 3$  and  $m-2 = 18$ . Then by Theorem 2.6,  $v_2(i(K)) = 3$ .

The following theorem provides infinite families of number fields with 2 indices  $i_2 \in \{4, 5\}$ .

**Theorem 2.8.** *Suppose that*  $a \equiv 1 \pmod{2}$  *and*  $b \equiv c \equiv d \equiv 0 \pmod{2}$ *. If the* following conditions simultaneously hold, then  $v_2(i(K)) = 4$  or 5*.* 

- $(1)$   $n m = 2^r$ .
- (2)  $A_0(1) \equiv 0 \pmod{8}$  *and*  $A_1(1) \equiv 2 \pmod{4}$ *.*
- (3)  $\nu_2(c) \nu_2(d) < \nu_2(b) \nu_2(c) < \frac{-\nu_2(b)}{m-2}$  $\frac{2}{m-2}$
- (4)  $m 2 = 2k + 1$  *divides*  $\nu_2(b)$ *.*

*More precisely, if*  $r \ge 2$ *, then*  $\nu_2(i(K)) = 4$  *and*  $\nu_2(i(K)) = 5$  *if*  $r = 1$ *.* 

<span id="page-3-0"></span>

<span id="page-4-0"></span>**Example 2.9.** *Let*  $K = \mathbb{Q}(\alpha)$  *be a number field defined by the monic irreducible*  $q$ uintinomial  $F(x) = x^{35} + 25x^3 + 30x^2 + 40x + 1920$ . Since  $a \equiv 1 \pmod{2}$ ,  $b \equiv$  $\vec{c} \equiv d \equiv 0 \pmod{2}, n - m = 2^5, \nu_2(d) = 7, \nu_2(c) = 3, \nu_2(b) = 1, \nu_2(A_0(1)) = 5$ and  $\nu_2(A_1(1)) = 1$ *. Then by Theorem [2.8](#page-3-0),*  $\nu_2(i(K)) = 4$ *.* 

In the remainder of this section, for every odd rational prime p and  $i_p \in$  $\{1, 2, p-2, p-1, p\}$ , we provide infinite families of number fields with  $\nu_p(i(K)) =$  $i_p$ . We shall denote  $B_p = \frac{B}{\gamma}$  $\frac{B}{p^{\nu_p(B)}}$  for every rational integer *B*.

In particular, Theorem 2.10 provides infinite families of number fields  $K$  with *p*-indices  $i_p = 1$  for every odd rational prime *p*.

**Theorem 2.10.** *Suppose that*  $b \equiv -1 \pmod{p}$ ,  $a \equiv c \equiv d \equiv 0 \pmod{p}$ ,  $n - 2 =$  $k(p-1)$  and  $n \not\equiv 2 \pmod{p}$ . If any of the following conditions is satisfied, then  $\nu_p(i(K)) = 1.$ 

(1)  $\nu_p(d) > 2\nu_p(c)$ . (2)  $\nu_p(d) = 2h < 2\nu_p(c)$  and  $d_p \equiv 1 \pmod{p}$ .

**Example 2.11.** *Let*  $K = \mathbb{Q}(\alpha)$  *be a number field defined by the monic irreducible*  $q$ *uintinomial*  $F(x) = x^{18} + 15x^{13} + 9x^2 + 45x + 375$ *. Since*  $b \equiv -1 \pmod{5}$ *,*  $a \equiv c \equiv d \equiv 0 \pmod{5}$ ,  $v_5(c) = 1$ ,  $v_5(d) = 3$  *and*  $n \equiv 3 \pmod{5}$ . Then by *Theorem* 2.10 (1),  $\nu_5(i(K)) = 1$ .

For every odd rational prime p and  $i_p = 2$ , Theorem 2.12 provides infinite families of number fields with *p*-indices  $i_p = 2$ .

**Theorem 2.12.** *Suppose that*  $a \equiv -1 \pmod{p}$ ,  $b \equiv c \equiv d \equiv 0 \pmod{p}$  *and*  $n-m = k(p-1)$ *. If the following conditions simultaneously hold, then*  $\nu_p(i(K))$  = 2*.*

- (1)  $n \not\equiv m \pmod{p}$ .
- (2)  $\nu_2(c) \nu_2(d) < \nu_2(b) \nu_2(c) < \frac{-\nu_2(b)}{m-2}$  $\frac{2}{m-2}$
- (3)  $gcd(v_n(b), m-2) = 1.$

**Example 2.13.** *Let*  $K = \mathbb{Q}(\alpha)$  *be a number field defined by the monic irreducible quintinomial*  $F(x) = x^{52} + 54x^{22} + 2 \cdot 11x^2 + 4 \cdot 11^2x + 2 \cdot 11^4$ . Since  $a \equiv$  $-1$  (mod 11)*,*  $b \equiv c \equiv d \equiv 0 \pmod{11}$ ,  $v_{11}(b) = 1$ ,  $v_{11}(c) = 2$ ,  $v_{11}(d) = 4$ ,  $n \neq m \pmod{11}$  *and*  $n - m = 3(11 - 1)$ *. Then by Theorem* 2.12*,*  $v_{11}(i(K)) = 2$ *.* 

For every rational prime  $p$ , the next theorem provides infinite families of number fields with *p*-indices  $i_p = p - 2$ .

**Theorem 2.14.** *Suppose that*  $a \equiv -1 \pmod{p}$ ,  $b \equiv c \equiv d \equiv 0 \pmod{p}$ ,  $\nu_p(d) < 2\nu_p(c) - \nu_p(d)$  and  $(m-2)\nu_p(d) < m\nu_p(b)$ . If the following condi*tions simultaneously hold, then*  $\nu_p(i(K)) = p - 2$ *.* 

(1)  $m = k(p - 1)$  *divides*  $\nu_p(d)$ *.*  $(2)$   $n - m = h(p - 1)$ . (3)  $gcd(k, h) = 1$  *and*  $kh \not\equiv 0 \pmod{p}$ . (4)  $d_p \equiv 1 \pmod{p}$ .

**Example 2.15.** *Let*  $K = \mathbb{Q}(\alpha)$  *be a number field defined by the monic irreducible*  $q$ *uintinomial*  $F(x) = x^{72} + 20x^{16} + 5 \cdot 7^8 x^2 + 5 \cdot 7^7 x + 15 \cdot 7^6$ *. Since*  $a \equiv -1 \pmod{7}$ *,*  $\bar{b} \equiv c \equiv d \equiv 0 \pmod{7}, \nu_7(d) = 6, \nu_7(c) = 7, \nu_7(b) = 8, m = (7 - 1) \text{ divides}$  $\nu_7(d)$ ,  $n - m = 11(7 - 1)$ ,  $gcd(11, 1) = 1$ ,  $11 \not\equiv 0 \pmod{7}$  *and*  $d_7 \equiv 1 \pmod{7}$ *. Then by Theorem [2.14](#page-4-0),*  $\nu_7(i(K)) = 5$ *.* 

Theorem [3.1](#page-7-0) provides infinite families of number fields with  $p$ -indices  $i_p =$  $p-1$  for every odd rational prime  $p$ .

**Theorem 2.16.** *Suppose that*  $a \equiv -1 \pmod{p}$ ,  $b \equiv c \equiv d \equiv 0 \pmod{p}$ ,  $(m 1)v_p(d) > mv_p(c)$  and  $(m-2)v_p(c) < (m-1)v_p(b)$ . If the following conditions *simultaneously hold, then*  $\nu_p(i(K)) = p - 1$ *.* 

- (1)  $m 1 = k(p 1)$  *divides*  $\nu_p(c)$ *.*
- (2)  $n m = h(p 1)$ .
- (3)  $gcd(k, h) = 1$  *and*  $kh \not\equiv 0 \pmod{p}$ .
- (4)  $c_p \equiv 1 \pmod{p}$ .

**Example 2.17.** *Let*  $K = \mathbb{Q}(\alpha)$  *be a number field defined by the monic irreducible quintinomial*  $F(x) = x^{141} + 28x^{29} + 14 \cdot 29^{30}x^2 + 2^4 \cdot 7^2 \cdot 29^{28}x + 7 \cdot 29^{31}$ . *Since*  $a \equiv -1 \pmod{29}$ ,  $b \equiv c \equiv d \equiv 0 \pmod{29}$ ,  $v_{29}(b) = 30$ ,  $v_{29}(c) = 28$ ,  $v_{29}(d) = 31, m - 1 = (29 - 1)$  *divides*  $v_{29}(c)$ *, n – m = 4*(29 – 1)*,* 4  $\not\equiv$  0 (mod 29) *and*  $c_{29} \equiv 1 \pmod{29}$ *. Then by Theorem 2.16,*  $\nu_{29}(i(K)) = 28$ *.* 

In the next theorem, for every odd rational prime  $p$ , we provide infinite families of number fields K with p-indices  $i_p = p$ .

**Theorem 2.18.** *Suppose that*  $a \equiv -1 \pmod{p}$ ,  $b \equiv c \equiv d \equiv 0 \pmod{p}$  *and*  $\nu_p(c) - \nu_p(d) < \nu_p(b) - \nu_p(c) <$  $-\nu_p(b)$  $\frac{p}{m-2}$ . If the following conditions simultane*ously hold, then*  $\nu_p(i(K)) = p$ .

(1)  $m - 2 = k(p - 1)$  *divides*  $\nu_p(b)$ *.* (2)  $n - m = h(p - 1)$ . (3)  $gcd(k, h) = 1$  *and*  $kh \not\equiv 0 \pmod{p}$ . (4)  $b_p \equiv 1 \pmod{p}$ .

**Example 2.19.** *Let*  $K = \mathbb{Q}(\alpha)$  *be a number field defined by the monic irreducible*  $q$ uintinomial  $F(x) = x^{283} + 163x^{42} + 42 \cdot 41^{40}x^2 + 9 \cdot 41^{42}x + 3 \cdot 41^{48}$ . Since  $a \equiv$  $-1$  (mod 41)*,*  $b \equiv c \equiv d \equiv 0 \pmod{41}$ ,  $\nu_{41}(d) = 48$ ,  $\nu_{41}(c) = 42$ ,  $\nu_{41}(b) = 40$ ,  $m = (41 - 1)$  *divides*  $\nu_{41}(b)$ *,*  $n - m = 6(41 - 1)$ *,*  $gcd(6, 1) = 1$ ,  $6 \not\equiv 0 \pmod{41}$ *and*  $b_{41} \equiv 1 \pmod{41}$ *. Then by Theorem 2.18,*  $v_{41}(i(K)) = 41$ *.* 

<span id="page-5-0"></span>

<span id="page-6-0"></span>The following example provides a number field with index  $i(K) = 2 \cdot 5^2 \cdot 7^2$ .  $13^2 \cdot 19^{18}$ .

**Example 2.20.** *Let*  $K = \mathbb{Q}(\alpha)$  *be a number field defined by the monic irreducible quintinomial*  $F(x) = x^{55} + ax^{19} + bx^2 + cx + d$ , where

$$
a = 23 \cdot 3 \cdot 1801,
$$
  
\n
$$
b = 3 \cdot 5 \cdot 7 \cdot 13 \cdot 1918,
$$
  
\n
$$
c = 215 \cdot 33 \cdot 52 \cdot 72 \cdot 132 \cdot 1918
$$
 and  
\n
$$
d = 232 \cdot 3 \cdot 55 \cdot 75 \cdot 137 \cdot 1920.
$$

*By the index formula* (1.1)*, one can check easily that the rational prime candidates to divide the index*  $i(K)$  *are*  $p = 2, 3, 5, 7, 13$  *and* 19*.* 

- (1) *For*  $p = 2$ *, we have*  $a \equiv 1 \pmod{2}$ ,  $b \equiv c \equiv d \equiv 0 \pmod{2}$ ,  $v_2(d) = 3$ ,  $\nu_2(c) = 1$  and n is odd. Then by Theorem [2.1](#page-2-0),  $\nu_2(i(K)) = 1$ .
- (2) For  $p = 3$ ,  $F(x)$  is 3-Eisenstein. Hence  $v_3(i(K)) = 0$ .
- (3) *For*  $p = 5$ *, we have*  $a \equiv -1 \pmod{5}$ ,  $b \equiv c \equiv d \equiv 0 \pmod{5}$ ,  $v_5(b) = 1$ ,  $v_5(c) = 2$ ,  $v_5(d) = 5$ ,  $n-m = 9(5-1)$ ,  $n \not\equiv m \pmod{5}$  and  $gcd(v_5(b), m-1)$  $(2) = 1$ *. Then by Theorem [2.12](#page-4-0),*  $\nu_5(i(K)) = 2$ *.*
- (4) *For*  $p = 7$ *, we have*  $a \equiv -1 \pmod{7}$ ,  $b \equiv c \equiv d \equiv 0 \pmod{7}$ ,  $v_7(b) = 1$ ,  $\nu_7(c) = 2, \nu_7(d) = 5, n-m = 6(7-1), n \not\equiv m \pmod{7}$  and  $\gcd(\nu_7(b), m-1)$  $(2) = 1$ *. Then by Theorem [2.12](#page-4-0),*  $\nu_7(i(K)) = 2$ *.*
- (5) *For*  $p = 13$ *, we have*  $a \equiv -1 \pmod{13}$ ,  $b \equiv c \equiv d \equiv 0 \pmod{13}$ ,  $\nu_{13}(b) = 1, \nu_{13}(c) = 2, \nu_{13}(d) = 5, n - m = 3(13 - 1), n \not\equiv m \pmod{13}$ *and*  $gcd(v_{13}(b), m - 2) = 1$ *. Then by Theorem [2.12](#page-4-0),*  $v_{13}(i(K)) = 2$ *.*
- (6) *For*  $p = 19$ *, we have*  $a \equiv -1 \pmod{19}$ ,  $b \equiv c \equiv d \equiv 0 \pmod{19}$ ,  $\nu_{19}(b) = 18, \nu_{19}(c) = 18, \nu_{19}(d) = 20, m - 1 = (19 - 1)$  *divides*  $\nu_{19}(c)$ *,*  $n - m = 2(19 - 1)$ ,  $gcd(1, 2) = 1$ ,  $2 \not\equiv 0 \pmod{19}$  *and*  $c_{19} \equiv 1 \pmod{19}$ *. Then by Theorem* [2.16](#page-5-0),  $\nu_{19}(i(K)) = 18$ *.*

*Finally, we conclude that*  $i(K) = 2 \cdot 5^2 \cdot 7^2 \cdot 13^2 \cdot 19^{18}$ *.* 

# **3. Preliminaries**

Our proofs are based on Newton polygon techniques applied on prime ideal factorization, which is rather technical but very efficient to apply. We have introduced the corresponding concepts in several former papers. Here we only give the theorem of index of Ore which plays a key role for proving our main results. For more details, we refer to [\[10\]](#page-15-0) and [\[16\]](#page-16-0).

Let  $K = \mathbb{Q}(\alpha)$  be a number field generated by a complex root  $\alpha$  of a monic irreducible polynomial  $F(x) \in \mathbb{Z}[x]$ . We shall use Dedekind's theorem [\[25,](#page-16-0) Chapter I, Proposition 8.3] and Dedekind's criterion [\[1,](#page-15-0) Theorem 6.1.4]. Let  $\phi \in \mathbb{Z}_p[x]$  be a monic lift to an irreducible factor of  $F(x)$  modulo p,  $F(x) =$  $a_0(x) + a_1(x)\phi(x) + \cdots + a_k(x)\phi(x)^k$  the  $\phi$ -expansion of  $F(x)$  and  $N^+_{\phi}$  $_{\phi}^+(F)$  the principal  $\phi$ -Newton polygon of  $F(x)$ , which can be obtained only by considering the principal  $\phi$ -expansion of  $F(x)$ . As defined in [\[10,](#page-15-0) Def. 1.3], the  $\phi$ -index

of  $F(x)$ , denoted ind<sub> $\phi$ </sub>(F), is deg( $\phi$ ) multiplied by the number of points with natural integer coordinates that lie below or on the polygon  $N_A^+$  $_{\phi}^+(F)$ , strictly above the horizontal axis and strictly beyond the vertical axis. Let  $\mathbb{F}_{\phi}$  be the field  $\mathbb{F}_p[x]/(\overline{\phi})$  and  $u_i = v_p(a_i(x))$ , then to every side S of  $N_{\phi}^+$  $_{\phi}^{+}(F)$  with initial point  $(s, u_s)$ , length  $l = l(S)$  and every  $i = 0, ..., l$ , let the residue coefficient  $c_i \in \mathbb{F}_{\phi}$ defined as follows:

$$
c_i = \begin{cases} 0, & \text{if } (s + i, u_{s+i}) \text{ lies strictly above } S, \\ \left(\frac{a_{s+i}(x)}{p^{u_{s+i}}}\right) & \text{mod } (p, \phi(x)), & \text{if } (s + i, u_{s+i}) \text{ lies on } S. \end{cases}
$$

Let  $-\lambda = -h/e$  be the slope of S, where h and e are two positive coprime integers and  $l = l(S)$  its length. Then  $d = l/e$  is the degree of S. Hence, if i is not a multiple of e, then  $(s + i, u_{s+i})$  does not lie on S and  $c_i = 0$ . Let  $R_{\lambda}(F)(y) = t_d y^{\overline{d}} + t_{d-1} y^{d-1} + \cdots + t_1 y + t_0 \in \mathbb{F}_{\phi}[y]$  be the residual polynomial of  $F(x)$  associated to the side S, where for every  $i = 0, ..., d, t_i = c_{s+ie}$ . If  $R_{\lambda}(F)(y)$  is square-free for each side of the polygon  $N_{\phi}^{+}$  $_{\phi}^{+}(F)$ , then we say that  $F(x)$  is  $\phi$ -regular.

Let  $\overline{F(x)} = \prod_{i=1}^{r} \overline{\phi_i}^{k_i}$  be the factorization of  $F(x)$  into powers of monic irreducible  $i=1$ 

coprime polynomials over  $\mathbb{F}_p$ , we say that the polynomial  $F(x)$  is p-regular if  $F(x)$  is a  $\phi_i$ -regular polynomial with respect to p for every  $i = 1, ..., r$ . Let  $N_{\rm \star}^+$  $\phi_i^+(F) = S_{i1} + \cdots + S_{ir_i}$  be the  $\phi_i$ -principal Newton polygon of  $F(x)$  with respect

to p. For every  $j = 1, ..., r_i$ , let  $R_{\lambda_{ij}}(F)(y) =$  $rac{s_{ij}}{1}$  $s=1$  $\psi_{ijs}^{a_{ijs}}(y)$  be the factorization of  $R_{\lambda_{ij}}(F)(y)$  in  $\mathbb{F}_{\phi_i}[y]$ . Then we have the following theorem of index of Ore:

**Theorem 3.1.** (*Ore*) ([\[10,](#page-15-0) Theorem 1.7 and Theorem 1.9]) *Under the above hypothesis, we have the following:*

(1)

$$
\nu_p((\mathbb{Z}_K : \mathbb{Z}[\alpha])) \geq \sum_{i=1}^r ind_{\phi_i}(F).
$$

*The equality holds if*  $F(x)$  *is p*-regular.

 $(2)$  *If*  $F(x)$  *is p*-regular, then

$$
p\mathbb{Z}_K = \prod_{i=1}^r \prod_{j=1}^{r_i} \prod_{s=1}^{s_{ij}} \mathfrak{p}_{ijs}^{e_{ij}}
$$

*is the factorization of*  $p\mathbb{Z}_K$  *into powers of prime ideals of*  $\mathbb{Z}_K$ *, where*  $e_{ij}$  *is the smallest positive integer satisfying*  $e_{ij} \lambda_{ij} \in \mathbb{Z}$  and the residue degree *of*  $\mathfrak{p}_{ijs}$  over p is given by  $f_{ijs} = \deg(\phi_i) \cdot \deg(\psi_{ijs})$  for every (i, j, s).

For the proof of our results, we need the following lemma, which characterizes the prime divisors of  $i(K)$ .

<span id="page-7-0"></span>

# <span id="page-8-0"></span>**Lemma 3.2.** (*Hensel*) ([\[11\]](#page-15-0))

*Let* p be a rational prime and *K* a number field. For every positive integer f, let  $P_f$ *be the number of distinct prime ideals of*  $\mathbb{Z}_K$  *lying above p with residue degree f and*  $\mathcal{N}_f$  the number of monic irreducible polynomials of  $\mathbb{F}_p[x]$  of degree f. Then *p* divides the index i(K) if and only if  $\mathcal{P}_f > \mathcal{N}_f$  for some positive integer f.

For every number field of degree  $n \leq 7$  and every rational prime p, Engstrom established a connection between  $i_p = v_p(i(K))$  and the prime ideal factorization of  $p\mathbb{Z}_K$ . That is, from the factorization of  $p\mathbb{Z}_K$ , one can determine explicitly  $i_p$ . Moreover, he provided some formulas which allow us to evaluate  $i_p$  for some particular number fields of degree  $n \geq 8$  (for more details, see [\[11\]](#page-15-0)). Also, Śliwa extended Engstrom's results to number fields up to degree 12, under the condition that *p* is unramified in the extension  $K/\mathbb{Q}$  (see [\[28\]](#page-16-0)).

# **4. Proofs of main results**

Recall that, according to the factorization given in Theorem [3.1,](#page-7-0) we use the triple indices in the factorization of  $p\mathbb{Z}_K$ . Namely,

$$
p\mathbb{Z}_K = \prod_{i=1}^r \prod_{j=1}^{r_i} \prod_{s=1}^{s_{ij}} \mathfrak{p}_{ijs}^{e_{ij}}.
$$

Here  $e_{ij}$  is the ramification index of  $\mathfrak{p}_{ijs}$  and  $f_{ijs} = deg(\phi_i) \cdot deg(\psi_{ijs})$  is its residue degree for every  $(i, j, s)$ .

# **Proof of Theorem [2.1.](#page-2-0)**

- (1) If  $a \equiv c \equiv d \equiv 0 \pmod{2}$  and  $b \equiv 1 \pmod{2}$ , then  $F(x) \equiv x^n x^2 \equiv 1$  $x^2(x^{n-2}-1)$  (mod 2). Let  $\phi_1 = x$ . Since  $\nu_2(d) > 2\nu_2(c)$ , then  $N_{d}^+$  $_{\phi_1}^+(F) =$  $S_{11} + S_{12}$  has two sides joining  $(0, \nu_2(d))$ ,  $(1, \nu_2(c))$  and  $(2, 0)$ . Thus the degree of each side of  $N_{\star}^{+}$  $\phi_1^+(F)$  is 1. Hence  $\phi_1$  provides two prime ideals of  $\mathbb{Z}_K$  lying above 2 with residue degree 1. On the other hand, we have the following:
	- (a) If *n* is odd, then  $x^{n-2} 1$  is a separable polynomial over  $\mathbb{F}_2$ . Since x – 1 divides  $x^{n-2} - 1$  and  $x^{n-2} - 1 \equiv (x - 1)U(x) \pmod{2}$  with  $gcd(x - 1, U(x)) = 1$ . Then  $x - 1$  provides a unique prime ideal of  $\mathbb{Z}_K$  lying above 2 with residue degree 1. The prime ideals provided by  $U(x)$ , which we denote by the unramified ideal  $\alpha$ , have residue degrees  $f > 1$  and satisfies  $\mathcal{P}_f < \mathcal{N}_f$  for every integer f. We conclude that  $2\mathbb{Z}_K = \mathfrak{p}_{111}\mathfrak{p}_{121}\mathfrak{p}_{211}$  a with  $f_{111} = f_{121} = f_{211} = 1$  and the prime ideal factorization of  $\alpha$  contains only prime ideals with residue degrees  $f > 1$  satisfying  $\mathcal{P}_f < \mathcal{N}_f$  for every positive integer f. By Lemma 3.2, 2 divides  $i(K)$ . Applying [\[11,](#page-15-0) Theorem 4], we get  $\nu_2(i(K)) = 1$ .

(b) If 
$$
n - 2 = 2^r
$$
  $(r \ge 1)$ , then  $F(x) \equiv x^2(x - 1)^{2^r}$  (mod 2). Let  $\phi_2 = x - 1$ . Then  $F(x) = \sum_{i=0}^{n} A_i(1)\phi_2^i$ . Since  $A_0(1) \equiv 2 \pmod{4}$ ,  $N_{\phi_2}^+(F) =$ 

 $S_{21}$  has a single side of height 1. Thus  $2\mathbb{Z}_K = \mathfrak{p}_{111} \mathfrak{p}_{121} \mathfrak{p}_{211}^{2^r}$  with residue degree 1 each ideal factor. Applying [\[11,](#page-15-0) Corollary], we get  $\nu_2(i(K)) = 1.$ 

- (c) If  $n 2 = 2^r$  ( $r \ge 1$ ), then  $F(x) \equiv x^2(x 1)^{2^r}$  (mod 2). Let  $\phi_2 = x - 1$ . Then  $F(x) = \sum_{n=1}^{n} x^n$  $i=0$  $A_i(1)\phi_j^i$  $i_2$ . Since  $A_0(1) \equiv 0 \pmod{8}$ and  $A_1(1) \equiv 2 \pmod{4}$ , then  $N_{\phi}^+$  ${}_{\phi_2}^+(F) = S_{21} + S_{22}$  has two sides joining  $(0, \nu_2(A_0(1))), (1, \nu_2(A_1(1)))$  and  $(2^r, 0)$ . Thus the degree of each side of  $N_A^+$  ${}_{\phi_2}^+(F)$  is 1, and so  $2\mathbb{Z}_K = \mathfrak{p}_{111}\mathfrak{p}_{121}\mathfrak{p}_{211}\mathfrak{p}_{221}^{2^{r}-1}$  with residue degree 1 each ideal factor. If  $r = 1$ , then by [\[11,](#page-15-0) Theorem 4],  $\nu_2(i(K)) = 2$ . If  $r \ge 2$ , then by [\[11,](#page-15-0) Corollary], we get  $\nu_2(i(K)) = 2$ also.
- (2) If  $a \equiv 1 \pmod{2}$  and  $b \equiv c \equiv d \equiv 0 \pmod{2}$ , then  $F(x) \equiv x^n x^m \equiv 1$  $x^m(x^{n-m}-1) \pmod{2}$ . Let  $\phi_1 = x$ . Since  $\nu_2(d) > 2\nu_2(c) - \nu_2(b)$  and  $(m-2)\nu_2(c) > (m-1)\nu_2(b)$ , then  $N_{d}^+$  $\phi_1^+(F) = S_{11} + S_{12} + S_{13}$  has three sides joining  $(0, \nu_2(d))$ ,  $(1, \nu_2(c))$ ,  $(2, \nu_2(b))$  and  $(m, 0)$ . Thus the degree of each side of  $N_A^+$  $\overline{\phi}_1^+(F)$  is 1. Hence  $\phi_1$  provides three prime ideals of  $\mathbb{Z}_K$ lying above 2 with residue degree 1. On the other hand,  $n \neq m \pmod{2}$ , then  $x^{n-m} - 1$  is separable over  $\mathbb{F}_2$ . Since  $x - 1$  divides  $x^{n-m} - 1$ , and  $x^{n-m} - 1 \equiv (x - 1)U(x) \pmod{2}$  with  $gcd(x - 1, U(x)) = 1$ , then  $x - 1$ provides a unique prime ideal of  $\mathbb{Z}_K$  lying above 2 with residue degree 1. The prime ideals provided by  $U(x)$ , which we denote by the unramified ideal  $\alpha$ , have residue degrees  $f > 1$  and satisfies  $\mathcal{P}_f < \mathcal{N}_f$  for every integer f. We conclude that  $2\mathbb{Z}_K = \mathfrak{p}_{111} \mathfrak{p}_{121} \mathfrak{p}_{131}^{m-2} \mathfrak{p}_{211}$  with  $f_{111} =$  $f_{121} = f_{131} = f_{211} = 1$  and the prime ideal factorization of a contains only prime ideals with residue degrees  $f > 1$  satisfying  $\mathcal{P}_f < \mathcal{N}_f$  for every positive integer f. If  $m = 3$ , then by [\[11,](#page-15-0) Theorem 4], we get  $\nu_2(i(K)) = 2$ . If  $m \geq 4$ , then by [\[11,](#page-15-0) Corollary], we get  $\nu_2(i(K)) = 2$  also. □

# **Proof of Theorem [2.4.](#page-3-0)**

If  $a \equiv 1 \pmod{2}$ ,  $b \equiv c \equiv d \equiv 0 \pmod{2}$  and  $n-m = 2^r$ , then  $F(x) \equiv x^n - x^m \equiv$  $x^m(x^{2^r} - 1) \equiv x^m(x - 1)^{2^r} \pmod{2}$ , where  $r \ge 2$ . Let  $\phi_1 = x$  and  $\phi_2 = x - 1$ . Then

$$
F(x) = \phi_1^n + a\phi_1^m + b\phi_1^2 + c\phi_1 + d,
$$
  
= 
$$
\sum_{i=0}^n A_i(1)\phi_2^i.
$$

Since  $A_0(1) \equiv 0 \pmod{4}$  and  $A_1(1) \equiv 2 \pmod{4}$ , then  $N_{\phi}^+$  ${}_{\phi_2}^+(F) = S_{21} + S_{22}$  has two sides joining  $(0, \nu_2(A_0))$ ,  $(1, 1)$  and  $(2^r, 0)$  with  $\nu_2(A_0(1)) \geq 2$  (see Figure [1\)](#page-10-0). Thus the degree of each side of  $N_A^+$  $_{\phi_2}^+(F)$  is 1. Hence  $\phi_2$  provides two prime ideals of  $\mathbb{Z}_K$  lying above 2 with residue degree 1. On the other hand,  $\nu_2(d)$  <  $2\nu_2(c) - \nu_2(b)$  and  $(m-2)\nu_2(d) > m\nu_2(b)$ . Then  $N_{d}^+$  $\phi_1^+(F) = S_{11} + S_{12}$  has

<span id="page-9-0"></span>

<span id="page-10-0"></span>two sides joining  $(0, \nu_2(d))$ ,  $(2, \nu_2(b))$  and  $(m, 0)$  (see Figure 1). Since  $\nu_2(d) \neq$  $\nu_2(b)$  (mod 2) and gcd( $m-2$ ,  $\nu_2(b)$ ) = 1, then  $d(S_{11}) = d(S_{12}) = 1$ . We conclude that  $2\mathbb{Z}_K = \mathfrak{p}_{111}^2 \mathfrak{p}_{121}^{m-2} \mathfrak{p}_{211}^2 \mathfrak{p}_{221}^{2^r-1}$  with residue degree 1 each ideal factor. Since  $r \ge 2$  and  $m \ge 4$ , then  $m - 2 \ge 2$  and  $2^r \ge 3$ . Applying [\[11,](#page-15-0) Theorem 6], we get  $\nu_2(i(K)) = 3.$ 



FIGURE 1. 
$$
N_{\phi_i}^+(F)
$$
,  $i = 1, 2$ 



# **Proof of Theorem [2.6.](#page-3-0)**

If  $a \equiv 1 \pmod{2}$  and  $b \equiv c \equiv d \equiv 0 \pmod{2}$ , then  $F(x) \equiv x^n - x^m \equiv$  $x^m(x^{n-m}-1) \equiv x^m(x-1)(x^2+x+1) \pmod{2}$ . Let  $\phi_1 = x$ . Since  $\nu_2(c) - \nu_2(d)$  $\nu_2(b) - \nu_2(c) < \frac{-\nu_2(b)}{m-2}$ , then  $N^+_{\phi_1}$  ${}_{\phi_1}^+(F) = S_{11} + S_{12} + S_{13}$  has three sides joining  $(0, \nu_2(d))$ ,  $(1, \nu_2(c))$ ,  $(2, \nu_2(b))$  and  $(m, 0)$  with  $d(S_{11}) = d(S_{12}) = 1$ . Since  $gcd(m-2, \nu_2(b)) = 3$ , then  $R_{\lambda_{13}}(F)(y) = y^3 + 1 = (y+1)(y^2 + y + 1) \in \mathbb{F}_{\phi_1}[y]$ . We conclude that  $2\mathbb{Z}_K = \mathfrak{p}_{111} \mathfrak{p}_{121} \mathfrak{p}_{131}^e \mathfrak{p}_{132}^e \mathfrak{p}_{211} \mathfrak{p}_{311}$  with  $e = \frac{m-2}{3}$  $\frac{2}{3}$ ,  $f_{111}$  =  $f_{121} = f_{131} = f_{211} = 1$  and  $f_{132} = f_{311} = 2$ . Applying [\[11,](#page-15-0) Theorem 7], we get  $v_2(i(K)) = 3.$  $(i(K)) = 3.$ 

# **Proof of Theorem [2.8.](#page-3-0)**

If  $a \equiv 1 \pmod{2}$ ,  $b \equiv c \equiv d \equiv 0 \pmod{2}$  and  $n-m = 2^r$ , then  $F(x) \equiv x^n - x^m \equiv x^n$  $x^m(x^{2^r} - 1) \equiv x^m(x - 1)^{2^r} \pmod{2}$ . Let  $\phi_1 = x$  and  $\phi_2 = x - 1$ . Then

$$
F(x) = \phi_1^n + a\phi_1^m + b\phi_1^2 + c\phi_1 + d,
$$
  
= 
$$
\sum_{i=0}^n A_i(1)\phi_2^i.
$$

Since  $A_0(1) \equiv 0 \pmod{8}$  and  $A_1(1) \equiv 2 \pmod{4}$ , then  $N^+_{\phi}$  $\phi_2^+(F) = S_{21} + S_{22}$ has two sides joining  $(0, \nu_2(A_0(1))), (1, 1)$  and  $(2^r, 0)$  with  $\nu_2(A_0(1)) \geq 3$  (see Figure [2\)](#page-11-0). Thus the degree of each side of  $N_A^+$  $\phi_2^+(F)$  is 1. Hence  $\phi_2$  provides two prime ideals of  $\mathbb{Z}_K$  lying above 2 with residue degree 1. On the other hand,  $\nu_2(c) - \nu_2(d) < \nu_2(b) - \nu_2(c) < \frac{-\nu_2(b)}{m-2}$ , then  $N_{\phi_1}^+$  $\phi_1^+(F) = S_{11} + S_{12} + S_{13}$  has three sides joining  $(0, \nu_2(d))$ ,  $(1, \nu_2(c))$ ,  $(2, \nu_2(b))$  and  $(m, 0)$  with  $d(S_{11}) = d(S_{12}) = 1$ 

(see Figure 2). Since  $m - 2 = 2k + 1$  divides  $v_2(b)$ , then  $d(S_{13}) = m - 2$  with  $R_{\lambda_{13}}(F)(y) = y^{m-2} + 1 \in \mathbb{F}_{\phi_1}[y]$ . Also,  $m-2$  is odd, then  $R_{\lambda_{13}}(F)(y)$  is separable over  $\mathbb{F}_{\phi_1}$  and  $R_{\lambda_{13}}(F)(y) = (y+1)U(y) \in \mathbb{F}_{\phi_1}[y]$  with  $gcd(y+1, U(y)) = 1$ , then y + 1 provides a unique prime ideal of  $\mathbb{Z}_K$  lying above 2 with residue degree 1. The prime ideals provided by  $U(y)$ , which we denote by the unramified ideal **a**, have residue degrees  $f > 1$  and satisfies  $\mathcal{P}_f < \mathcal{N}_f$  for every integer f. We conclude that  $2\mathbb{Z}_K = \mathfrak{p}_{111}\mathfrak{p}_{121}\mathfrak{p}_{131}\mathfrak{p}_{211}\mathfrak{p}_{221}^{2^r-1}$  with  $f_{111} = f_{121} = f_{131} = f_{211} =$  $f_{221} = 1$  and the prime ideal factorization of  $\alpha$  contains only prime ideals with residue degrees  $f > 1$  satisfying  $\mathcal{P}_f < \mathcal{N}_f$  for every positive integer f. If  $r = 1$ , then by [\[11,](#page-15-0) Theorem 4], we get  $\nu_2(i(K)) = 5$ . If  $r \ge 2$ , then by [11, Corollary], we get  $\nu_2(i(K)) = 4$ .



FIGURE 2.  $N_A^+$  $_{\phi_i}^+(F), i = 1, 2$ 

□

### **Proof of Theorem [2.10.](#page-4-0)**

Since  $b \equiv -1 \pmod{p}$ ,  $a \equiv c \equiv d \equiv 0 \pmod{p}$  and  $n - 2 = k(p - 1)$ , then  $F(x) \equiv x^n - x^2 \equiv x^2(x^{k(p-1)} - 1) \pmod{p}$ . Since  $n \not\equiv 2 \pmod{p}$ , then  $x^{k(p-1)} - 1$ is separable over  $\mathbb{F}_p$  and  $x^{k(p-1)} - 1 \equiv \prod^{p-1}$  $i=1$  $(x - i)U(x)$  (mod p) with gcd(x –  $(i, U(x)) = 1$ . Let  $\phi_i = x - i$  for every  $i = 0, ..., p-1$ . Then For every  $i = 1, ..., p-1$ 1,  $\phi_i$  provides a unique prime ideal of  $\mathbb{Z}_K$  lying above p with residue degree 1. The prime ideals provided by  $U(x)$ , which we denote by the unramified ideal a, have residue degrees  $f > 1$  and satisfies  $\mathcal{P}_f < \mathcal{N}_f$  for every integer f. For  $\phi_0$ , we have the following:

(1) If  $\nu_p(d) > 2\nu_p(c)$ , then  $N_{d}^+$  ${}_{\phi_0}^+(F) = S_{01} + S_{02}$  has two sides joining  $(0, \nu_p(d)),$  $(1, \nu_p(c))$  and  $(2, 0)$ . Thus the degree of each side of  $N_{\phi}^+$  $^+_{\phi_0}(F)$  is 1. Hence  $p\mathbb{Z}_K = \mathfrak{p}_{011}\mathfrak{p}_{021}\prod^{p-1}$  $i=1$  $\mathfrak{p}_{i11}$  a with  $f_{011} = f_{021} = f_{i11} = 1$  for every  $i =$ 

 $1, \ldots, p-1$  and the prime ideal factorization of a contains only prime ideals with residue degrees  $f > 1$  satisfying  $\mathcal{P}_f < \mathcal{N}_f$  for every positive

<span id="page-11-0"></span>

<span id="page-12-0"></span>integer f. By Lemma [3.2,](#page-8-0) p divides  $i(K)$ . Applying [\[11,](#page-15-0) Theorem 4], we get  $\nu_n(i(K)) = 1$ .

(2) If  $\nu_p(d) = 2h < 2\nu_p(c)$ , then  $N_{d}^+$  $^+_{\phi_0}(F) = S_{01}$  has a single side joining  $(0, \nu_p(d))$  and  $(2, 0)$ . Since  $\nu_p(d) = 2h$  and  $d_p \equiv 1 \pmod{p}$ , then  $d(S_{01}) = 2$  with  $R_{\lambda_{01}}(F)(y) = by^2 + d_p = -y^2 + 1 = -(y-1)(y+1) \in$  $\mathbb{F}_{\phi_0}[y]$ . Hence  $p\mathbb{Z}_K = \mathfrak{p}_{011}\mathfrak{p}_{011}\prod^{p-1}$ for every  $i = 1, ..., p - 1$  and the prime ideal factorization of  $\alpha$  contains  $\mathfrak{p}_{i11}$  a with  $f_{011} = f_{012} = f_{i11} = 1$ only prime ideals with residue degrees  $f > 1$  satisfying  $\mathcal{P}_f < \mathcal{N}_f$  for every positive integer f. By Lemma [3.2,](#page-8-0) p divides  $i(K)$ . Applying [\[11,](#page-15-0) Theorem 4], we get  $\nu_p(i(K)) = 1$ .

□

# **Proof of Theorem [2.12.](#page-4-0)**

Since  $a \equiv -1 \pmod{p}$ ,  $b \equiv c \equiv d \equiv 0 \pmod{p}$  and  $n - m = k(p - 1)$ , then  $F(x) \equiv x^n - x^m \equiv x^m (x^{k(p-1)} - 1) \pmod{p}$ . Since  $n \not\equiv m \pmod{p}$ , then  $x^{k(p-1)} - 1$  is separable over  $\mathbb{F}_p$  and  $x^{k(p-1)} - 1 \equiv \prod^{p-1}$  $i=1$  $(x - i)U(x)$  (mod p) with  $gcd(x - i, U(x)) = 1$  for every  $i = 1, ..., p - 1$ . Let  $\phi_i = x - i$  with  $i = 0, ..., p - 1$ . Then for every  $i = 1, ..., p-1$ ,  $\phi_i$  provides a unique prime ideal of  $\mathbb{Z}_K$  lying above p with residue degree 1. The prime ideals provided by  $U(x)$ , which we denote by the unramified ideal a, have residue degrees  $f > 1$  and satisfies  $\mathcal{P}_f < \mathcal{N}_f$ for every integer f. For  $\phi_0$ , since  $\nu_p(c) - \nu_p(d) < \nu_p(b) - \nu_p(c) < \frac{-\nu_p(b)}{m-2}$ , then  $N_{\phi_0}^+(F) = S_{01} + S_{02} + S_{03}$  has three sides joining  $(0, \nu_p(d)), (1, \nu_p(c)), (2, \nu_p(b))$  $\int_{\phi_0}^{N} (1 - 501 + 502 + 503$  has three sides joining (0,  $\phi_p(u)$ ), (1,  $\phi_p(v)$ ), (2,  $\phi_p(v)$ ), and  $(m, 0)$  with  $d(S_{01}) = d(S_{02}) = 1$  (see Figure [3\)](#page-13-0). Since gcd $(\psi_p(b), m - 2) = 1$ , then  $d(S_{03}) = 1$  also. Finally, we get  $p\mathbb{Z}_K = \mathfrak{p}_{011} \mathfrak{p}_{021} \mathfrak{p}_{031}^{m-2} \prod^{p-1}$  $i=1$  $\mathfrak{p}_{i11}$  a with  $f_{011} =$  $\mathfrak{p}_{021} = f_{031} = f_{i11} = 1$  for every  $i = 1, ..., p-1$  and the prime ideal factorization of a contains only prime ideals with residue degrees  $f > 1$  satisfying  $\mathcal{P}_f$  <  $\mathcal{N}_f$  for every positive integer f. If  $m = 3$ , then by [\[11,](#page-15-0) Theorem 4], we get  $\nu_p(i(K)) = 2$ . If  $m \geq 4$ , then by [\[11,](#page-15-0) Corollary], we get  $\nu_p(i(K)) = 2$  also. □

# **Proof of Theorem [2.14.](#page-4-0)**

Since  $a \equiv -1 \pmod{p}$ ,  $b \equiv c \equiv d \equiv 0 \pmod{p}$  and  $n - m = h(p - 1)$ , then  $F(x) \equiv x^n - x^m \equiv x^{k(p-1)}(x^{h(p-1)} - 1) \pmod{p}$ . Since  $h \not\equiv 0 \pmod{p}$ , then  $x^{h(p-1)} - 1$  is separable over  $\mathbb{F}_p$  and  $x^{h(p-1)} - 1 \equiv \prod^{p-1}$  $i=1$  $(x - i)U(x)$  (mod p) with  $gcd(x - i, U(x)) = 1$  for every  $i = 1, ..., p - 1$ . Let  $\phi_i = x - i$  with  $i = 0, ..., p - 1$ . Then for every  $i = 1, ..., p-1, \phi_i$  provides a unique prime ideal of  $\mathbb{Z}_K$  lying above p with residue degree 1. The prime ideals provided by  $U(x)$ , which we denote



FIGURE 3.  $N_A^+$  $^+_{\phi_0}(F)$ 

by the unramified ideal  $\mathfrak{a}$ , have residue degrees  $f > 1$  and satisfies  $\mathcal{P}_f < \mathcal{N}_f$  for every integer f. For  $\phi_0$ , since  $\nu_p(d) < 2\nu_p(c) - \nu_p(d)$  and  $(m-2)\nu_p(d) < m\nu_p(b)$ , then  $N_{\phi}^+$  $\phi_0^+(F) = S_{01}$  has a single side joining  $(0, \nu_p(d))$  and  $(m, 0)$ . On the other hand,  $m = k(p-1)$  divides  $\nu_p(d)$ . Thus  $R_{\lambda_{01}}(F)(y) = ay^{k(p-1)} + d_p = -y^{k(p-1)} +$  $1 \in \mathbb{F}_{\phi_0}[y]$ . Since  $k \not\equiv 0 \pmod{p}$ , then  $R_{\lambda_{01}}(F)(y)$  is separable over  $\mathbb{F}_{\phi_0}$  and  $R_{\lambda_{01}}(F)(y) = -\prod^{p-1}$  $j=1$  $(y - j)V(y) \in \mathbb{F}_{\phi_0}[y]$  with  $gcd(y - i, V(y)) = 1$  for every

 $i = 1, ..., p-1$ . Thus y – j provides a unique prime ideal of  $\mathbb{Z}_k$  lying above p with residue degree 1 for every  $j = 1, ..., p - 1$ . The prime ideals provided by  $V(y)$ , which we denote by the unramified ideal  $\mathfrak b$ , have residue degrees  $f > 1$  and satisfies  $\mathcal{P}_f < \mathcal{N}_f$  for every integer  $f$  . Finally, we get  $p\mathbb{Z}_K = \prod^{p-1}$ with  $f_{01j} = f_{i11} = 1$  for every  $i, j = 1, ..., p - 1$ . Since  $gcd(k, h) = 1$  and  $\mathfrak{p}_{01j}$  $\frac{p-1}{1}$  $\mathfrak{p}_{i11}$ ab  $\mathbb{F}_{\phi_0} \simeq \mathbb{F}_p$ , then  $gcd(U(x), V(x)) = 1$ . Therefore, the prime ideal factorization of  $\alpha$ b contains only prime ideals with residue degrees  $f > 1$  satisfying  $\mathcal{P}_f < \mathcal{N}_f$ for every positive integer f. By [\[11,](#page-15-0) Theorem 4],  $\nu_p(i(K)) = p - 2$ .

# **Proof of Theorem [2.16.](#page-5-0)**

Since  $a \equiv -1 \pmod{p}$ ,  $b \equiv c \equiv d \equiv 0 \pmod{p}$  and  $n - m = h(p - 1)$ , then  $F(x) \equiv x^n - x^m \equiv x^m (x^{h(p-1)} - 1) \pmod{p}$ . Since  $h \not\equiv 0 \pmod{p}$ , then  $x^{h(p-1)} - 1$  is separable over  $\mathbb{F}_p$  and  $x^{h(p-1)} - 1 \equiv \prod^{p-1}$  $i=1$  $(x - i)U(x) \pmod{p}$ with gcd( $x - i$ ,  $U(x)$ ) = 1 for every  $i = 1, ..., p - 1$ . Let  $\phi_i = x - i$  with  $i =$ 0, ...,  $p-1$ . Then for every  $i=1,\ldots,p-1, \phi_i$  provides a unique prime ideal of  $\mathbb{Z}_K$ lying above  $p$  with residue degree 1. The prime ideals provided by  $U(x)$ , which we denote by the unramified ideal  $\alpha$ , have residue degrees  $f > 1$  and satisfies  $\mathcal{P}_f < \mathcal{N}_f$  for every integer f. For  $\phi_0$ , since  $(m-1)\nu_p(d) > m\nu_p(c)$  and  $(m-1)$  $2\nu_p(c) < (m-1)\nu_p(b)$ , then  $N_{d}^+$  ${}_{\phi_0}^+(F) = S_{01} + S_{02}$  has two sides joining  $(0, \nu_p(d)),$  $(1, \nu_p(c))$  and  $(m, 0)$  with  $d(S_{01}) = 1$ . Since  $m - 1 = k(p - 1)$  divides  $\nu_p(c)$ , then  $R_{\lambda_{02}}(F)(y) = ay^{k(p-1)} + c_p = -y^{k(p-1)} + 1 \in \mathbb{F}_{\phi_0}[y]$ . Since  $k \not\equiv 0 \pmod{p}$ , then

<span id="page-13-0"></span>

<span id="page-14-0"></span> $R_{\lambda_{02}}(F)(y)$  is separable over  $\mathbb{F}_{\phi_0}$  and  $R_{\lambda_{02}}(F)(y) = -\prod_{i=1}^{p-1}$  $j=1$  $(y - j)V(y) \in \mathbb{F}_{\phi_0}[y]$ 

with gcd( $y - i$ ,  $V(y)$ ) = 1 for every  $i = 1, ..., p - 1$ . Thus  $y - j$  provides a unique prime ideal of  $\mathbb{Z}_K$  lying above p with residue degree 1 for every  $j = 1, ..., p - 1$ . The prime ideals provided by  $V(y)$ , which we denote by the unramified ideal  $\mathfrak{b}$ , have residue degrees  $f > 1$  and satisfies  $\mathcal{P}_f < \mathcal{N}_f$  for every integer f. Finally,  $\frac{p-1}{\Gamma}$ 

we get  $p\mathbb{Z}_K = \mathfrak{p}_{011} \prod^{p-1}$  $j=1$  $\mathfrak{p}_{02j}$  $i=1$  $\mathfrak{p}_{i11}$  ab with  $f_{011} = f_{02j} = f_{i11} = 1$  for every

 $i, j = 1, ..., p - 1$ . Since  $gcd(k, h) = 1$  and  $\mathbb{F}_{\phi_0} \simeq \mathbb{F}_p$ , then  $gcd(U(x), V(x)) = 1$ . Therefore, the prime ideal factorization of  $\mathfrak{a}\mathfrak{b}$  contains only prime ideals with residue degrees  $f > 1$  satisfying  $\mathcal{P}_f < \mathcal{N}_f$  for every positive integer f. By [\[11,](#page-15-0) Theorem 4],  $\nu_p(i(K)) = p - 1$ .

# **Proof of Theorem [2.18.](#page-5-0)**

Since  $a \equiv -1 \pmod{p}$ ,  $b \equiv c \equiv d \equiv 0 \pmod{p}$  and  $n - m = h(p - 1)$ , then  $F(x) \equiv x^n - x^m \equiv x^m(x^{h(p-1)} - 1) \pmod{p}$ . Since  $h \not\equiv 0 \pmod{p}$ , then  $x^{h(p-1)} - 1$  is separable over  $\mathbb{F}_p$  and  $x^{h(p-1)} - 1 \equiv \prod^{p-1}$  $i=1$  $(x - i)U(x) \pmod{p}$ with gcd( $x - i$ ,  $U(x)$ ) = 1 for every  $i = 1, ..., p - 1$ . Let  $\phi_i = x - i$  with  $i = 0, \dots, p - 1$ . Then for every  $i = 1, \dots, p - 1$ ,  $\phi_i$  provides a unique prime ideal of  $\mathbb{Z}_K$  lying above p with residue degree 1. The prime ideals provided by  $U(x)$ , which we denote by the unramified ideal  $\alpha$ , have residue degrees  $f > 1$ and satisfies  $\mathcal{P}_f < \mathcal{N}_f$  for every integer f. For  $\phi_0$ , since  $\nu_p(c) - \nu_p(d) < \nu_p(b) - \nu_p(d)$  $\nu_p(c)$  <  $\frac{-\nu_p(b)}{m-2}$ , then  $N_{\phi(c)}^+$  ${}_{\phi_0}^+(F) = S_{01} + S_{02} + S_{03}$  has three sides joining  $(0, \nu_p(d))$ ,  $(1, v_p(c)), (2, v_p(b))$  and  $(m, 0)$  with  $d(S_{01}) = d(S_{02}) = 1$ . Since  $m-2 = k(p-1)$ divides  $v_p(b)$ , then  $R_{\lambda_{03}}(F)(y) = ay^{k(p-1)} + b_p = -y^{k(p-1)} + 1 \in \mathbb{F}_{\phi_0}[y]$ . Since  $k\not\equiv 0\,(\text{mod }p),$  then  $R_{\lambda_{03}}(F)(y)$  is separable over  $\mathbb{F}_{\phi_0}$  and  $R_{\lambda_{03}}(F)(y)=-\prod^{p-1}$  $j=1$ (y –  $j)V(y) \in \mathbb{F}_{\phi_0}[y]$  with  $gcd(y - i, V(y)) = 1$  for every  $i = 1, ..., p - 1$ . Thus  $y - j$ provides a unique prime ideal of  $\mathbb{Z}_K$  lying above p with residue degree 1 for

every  $j = 1, ..., p - 1$ . The prime ideals provided by  $V(y)$ , which we denote by the unramified ideal b, have residue degrees  $f > 1$  and satisfies  $\mathcal{P}_f < \mathcal{N}_f$  for every integer f. Finally, we get  $p\mathbb{Z}_K = \mathfrak{p}_{011} \mathfrak{p}_{021} \prod^{p-1}$  $j=1$  $\mathfrak{p}_{03j}$  $\frac{p-1}{\Gamma}$  $i=1$  $\mathfrak{p}_{i11}$  ab with  $f_{011} =$  $f_{021} = f_{03j} = f_{i11} = 1$  for every  $i, j = 1, ..., p - 1$ . Since  $gcd(k, h) = 1$  and  $\mathbb{F}_{\phi_0} \simeq \mathbb{F}_p$ , then  $gcd(U(x), V(x)) = 1$ . Therefore, the prime ideal factorization of

 $\alpha$ b contains only prime ideals with residue degrees  $f > 1$  satisfying  $\mathcal{P}_f < \mathcal{N}_f$ for every positive integer f. By [\[11,](#page-15-0) Theorem 4],  $\nu_p(i(K)) = p$ .

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