

## Jordan type of a $k[C_p \times C_p]$ -module

Semra Öztürk Kaptanoğlu

ABSTRACT. Let  $E$  be the elementary abelian group  $C_p \times C_p$ ,  $k$  a field of characteristic  $p$ ,  $M$  a finite dimensional module over the group algebra  $k[E]$  and  $J$  the Jacobson radical  $J$  of  $k[E]$ . We prove that the decomposition of  $M$  when considered as a  $k[(1+x)]$ -module for a  $p$ -point  $x$  in  $J$  is well defined modulo  $J^p$ .

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### 1. Introduction

Throughout this note  $k$  denotes a field of characteristic  $p > 0$ , unless it is stated otherwise,  $E$  denotes the elementary abelian  $p$ -group of rank 2, generated by  $a$  and  $b$ , i.e.,  $E = C_p \times C_p = \langle a, b \rangle$ , and  $M$  denotes a finite dimensional  $k[E]$ -module,  $M \downarrow_H$  denotes  $M$  as a  $k[H]$ -module for a subgroup  $H$  of units of  $k[E]$ .

The set of indecomposable  $k[C_{p^t}]$ -modules (up to isomorphism) consists of the ideals of  $k[C_{p^t}]$ , namely,

$$(1) \quad k[C_{p^t}], J, J^2, \dots, J^{p^t-1}$$

where  $J$  is the Jacobson radical of  $k[C_{p^t}]$ . However, when a finite group  $G$  contains  $E$  as a subgroup by Higman's theorem there are infinitely many indecomposable  $k[G]$ -modules (up to isomorphism) [Hi]. When  $p = 2$ , the infinite set of indecomposable  $k[E]$ -modules is determined in [Ba], and a cohomological characterization is given in [Ca]. However, when  $p \geq 3$ , there is no classification for indecomposable  $k[E]$ -modules. Thus, alternative means are used in the study of  $k[E]$ -modules so that new subcategories of modules

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are defined and characterized. For instance, information, namely the number of  $i$ -dimensional indecomposable  $k[E]$ -modules, for  $i = 1, \dots, p$ , in the restriction of a  $k[E]$ -module at  $p$ -points led to the definition of the subcategory of modules of constant Jordan type [CFP].

The  $p$ -points of  $k[E]$  are elements  $x = \alpha(a - 1) + \beta(b - 1) + w$  of  $J$  with  $\alpha, \beta$  in  $k$ , not both zero, and  $w \in J^2$  so that  $\langle 1 + x \rangle$  is cyclic group of order  $p$ . For such an  $x$ ,  $k[\langle 1 + x \rangle] \cong k[C_p] \cong k[x]/(x^p)$  is a subalgebra of  $k[E]$  for which  $k[E]_{\downarrow \langle 1 + x \rangle}$  is free. This property distinguishes  $p$ -points from arbitrary points of  $J_E$ . For a  $p$ -point  $x$ , the subgroup  $\langle 1 + x \rangle$  of the group of units of  $k[E]$  is called a *shifted cyclic subgroup* (following [Ca]). For a  $k[E]$ -module  $M$  and a  $p$ -point  $x$ , by (1) the decomposition of  $M_{\downarrow \langle 1 + x \rangle}$  into indecomposable  $k[\langle 1 + x \rangle]$ -modules is as follows;

$$M_{\downarrow \langle 1 + x \rangle} \cong (k[\langle 1 + x \rangle])^{a_p} \oplus (J)^{a_{p-1}} \oplus (J^2)^{a_{p-2}} \oplus \dots \oplus (J^{p-1})^{a_1}.$$

Thus  $M_{\downarrow \langle 1 + x \rangle}$  is determined by the  $p$ -tuple  $\underline{a}(x) = (a_1, \dots, a_p)$  where  $a_i$  denotes the number of the  $i$ -dimensional indecomposable  $k[\langle 1 + x \rangle]$ -module  $J^{p-i}$  for  $J = \text{rad}(k[\langle 1 + x \rangle])$ . Hence a  $k[E]$ -module  $M$  can be studied through such  $p$ -tuples  $\underline{a}(x)$  where  $x$  is a  $p$ -point.

Dade's [Da] criterion was the first significant result which used  $p$ -points, namely, for an arbitrary elementary abelian  $p$ -group  $E$ , a  $k[E]$ -module  $M$  is free if and only if  $M_{\downarrow \langle 1 + x \rangle}$  is free for all shifted cyclic subgroups of  $k[E]$ . In [CFS] modules for  $C_p \times C_p$ , especially *modules of constant Jordan type*, i.e., modules having the same  $\underline{a}(x)$  for all  $p$ -points  $x$ , are studied thoroughly.

In fact,  $p$ -points are defined and studied in the much more general context of finite group schemes in [FP]. Later, in [FPS] generic and maximal Jordan types for modules are introduced and studied; this is followed by [CFP] where modules of constant Jordan type are introduced. Recently, in [Ka] this type of study has been generalized to include the restrictions of modules to subalgebras of  $k[G]$  that are of the form  $k[\langle 1 + x \rangle] \cong k[C_{p^t}]$ , for  $t \geq 1$ , and  $x \in J$  is a  $p^t$ -point. A  $p^t$ -point of  $k[G]$  is an element of  $J$  defined analogous to a  $p$ -point, yet they are much more intricate to characterize. The  $p^t$ -points led to the definition of modules of constant  $p^t$ -Jordan type and modules of constant  $p^t$ -power Jordan type for an abelian  $p$ -group  $G$ . Also, a filtration of modules of constant Jordan type by modules of constant  $p^t$ -power Jordan type is obtained. Studying modules by means of  $p$ -points is an active research area, see also [Fr], [BP], et al. The main result of this article is a variation on that theme for  $k[E]$ -modules:

**Theorem 1.** *If  $M$  is a finite dimensional  $k[E]$ -module, and  $x, y$  are elements of  $J - J^2$  with  $x \equiv y \pmod{J^p}$ , then the kernels of  $x^i$  and  $y^i$  on  $M$  are the same for all  $i \geq 1$ . In particular,  $M_{\downarrow \langle 1 + x \rangle}$  and  $M_{\downarrow \langle 1 + y \rangle}$  have the same decomposition.*

This theorem is a generalization of Lemma 6.4 in [Ca] which states that  $M_{\downarrow \langle 1 + x \rangle}$  is free if and only if  $M_{\downarrow \langle 1 + y \rangle}$  is free which makes the rank variety,

$V_E^r(M)$ , of  $M$  well defined. The rank variety is defined as points  $\bar{x}$  in  $J/J^2$  at which  $M_{\downarrow(1+x)}$  is not free. Likewise, Theorem 1 makes the following subset of  $J/J^p \times (\mathbb{N} \cup \{0\})^p$ , denoted by  $Jt_E(M)$ , called *the Jordan set* of  $M$ , well defined.

$$Jt_E(M) = \{(\bar{x}, \underline{a}(\bar{x})) \mid \bar{x} \in J/J^p\}.$$

The Jordan set of  $M$  is an invariant of the module finer than its rank variety. Although it is possible for two nonisomorphic  $k[E]$ -modules to have the same Jordan set, the Jordan set may distinguish two nonisomorphic modules. The Jordan set of  $M$  was first defined in [Öz] and used in [Ka1], under the name *multiplicities set of  $M$* , to distinguish some types of  $k[C_2 \times C_4]$ -modules.

The significance of  $J/J^2$  in the modular representation theory of elementary abelian  $p$ -groups, especially when the freeness of a module is concerned, is manifested in Dade’s Theorem, in the definition of the rank variety, etc. By our theorem it becomes clear that  $J/J^p$  has a significance as well for the Jordan decomposition of a module at a  $p$ -point  $x$  in  $J$ , for instance in the study of modules of constant Jordan type. At this point there is a need for a “geometric” interpretation for  $J/J^p$  similar to that of  $J/J^2$ .

When stated in terms of matrices our theorem takes the following form.

**Corollary 2.** *Let  $A, B$  be commuting nilpotent nonzero matrices over  $k$  with  $A^p = 0, B^p = 0$ . If  $X = f(A, B), Y = g(A, B)$  for polynomials  $f, g \in k[z_1, z_2]$  with no constant term, having at least one linear term and  $f - g$  in the ideal  $(z_1, z_2)^p$ , then  $\text{null}(X^i) = \text{null}(Y^i)$  for all  $i$ . In particular,  $A$  and  $B$  have the same Jordan canonical form.*

## 2. A lemma

The formula in Lemma 3(i) below for counting the Jordan blocks of a given size in the Jordan canonical form of a nilpotent matrix is used in the proof of Theorem 1.

**Lemma 3.** *Let  $X$  be a  $d \times d$  matrix over a field  $\mathbb{F}$  and  $a_t$  denote the number of  $t \times t$  Jordan blocks in the Jordan form of  $X$ . Suppose that  $X^s = 0$ . Then*

- (i)  $a_t = \text{rank}(X^{t-1}) - 2\text{rank}(X^t) + \text{rank}(X^{t+1})$  for  $1 \leq t \leq s$ ,
- (ii)  $\sum_{i=1}^s a_i = \#\{\text{Jordan blocks in } X\} = \text{rank}(X^0) - \text{rank}(X) = \text{null}(X)$ ,
- (iii)  $\text{rank}(X^r) = \sum_{r+1 \leq t \leq s} (t - r) a_t$ .

**Proof.** In the course of the proof of this lemma we will use the notation  $a(i)$  to denote  $a_i$  in order not to use too small indices. Note also that (ii) follows from (i). To prove (i) and (iii), without loss of generality, assume that  $X$  is in Jordan canonical form. Since  $X^s = 0$ ,  $X$  consists of Jordan

blocks of sizes less than or equal to  $s$ . Thus

$$X = \begin{bmatrix} [j_s]^{\oplus a(s)} & & \\ & \ddots & \\ & & [j_1]^{\oplus a(1)} \end{bmatrix}$$

where  $[j_t]$  denotes the  $t \times t$  upper triangular Jordan block with zero eigenvalue, and  $\oplus a(t)$  in the exponent denotes the multiplicity of  $[j_t]$  in  $X$ . Hence  $d = \sum_{t=1}^s t a(t)$  and  $\text{rank}(X) = \sum_{1 \leq t \leq s} \text{rank}([j_t]) a(t)$ . Note that

$$\text{rank}([j_t]^r) = \begin{cases} t - r, & \text{if } r < t; \\ 0, & \text{if } r \geq t. \end{cases}$$

Thus  $\text{rank}([j_t]^r) \neq 0$  if and only if  $t \geq r + 1$  and

$$\begin{aligned} \text{rank}([j_{r+1}]^r) &= 1, \\ \text{rank}([j_{r+2}]^r) &= 2, \\ &\vdots \\ \text{rank}([j_s]^r) &= s - r. \end{aligned}$$

Therefore

$$\begin{aligned} \text{rank}(X^r) &= \sum_{1 \leq t \leq s} a(t) (\text{rank}([j_t]^r)) \\ &= 0 + \cdots + 0 + a(r+1) + 2a(r+2) + \cdots + (s-r)a(s) \\ &= \sum_{r+1 \leq t \leq s} (t-r)a(t). \end{aligned}$$

In particular, for  $r = s - 1$ , when computing  $\text{rank}(X^{s-1})$  the only possibly nonzero rank in the summation is  $\text{rank}([j_s]^{s-1}) = 1$ . Hence one obtains

$$\text{rank}(X^{s-1}) = a(s).$$

For  $r = s - 2$ , (since  $\text{rank}([j_s]^{s-2}) = 2$ ) there are only two possibly nonzero terms in the summation, hence

$$\text{rank}(X^{s-2}) = a(s-1) + 2a(s),$$

By substituting the resulting formulas for  $a(s-1)$  and  $a(s)$  in the formula for  $\text{rank}(X^{s-3})$ , one obtains

$$\begin{aligned} a(s-2) &= \text{rank}(X^{s-3}) - 2a(s-1) - 3a(s) \\ &= \text{rank}(X^{s-3}) - 2\text{rank}(X^{s-2}) + \text{rank}(X^{s-1}). \end{aligned}$$

This suggests the formula

$$a(s-i) = \text{rank}(X^{s-(i+1)}) - 2\text{rank}(X^{s-i}) + \text{rank}(X^{s-(i-1)}), \quad \text{for } 0 \leq i \leq s.$$

The proof is by induction on  $i$  in the above formula. Having seen that it is true for  $i = 1, 2$  and  $3$ , suppose the above equality holds for all  $1 \leq i \leq r$ . To prove it for  $r + 1$ , recall that

$$\begin{aligned} \text{rank}(X^{s-(r+1)-1}) &= \text{rank}(X^{s-r-2}) = \sum_{s-(r+1) \leq t \leq s} \text{rank}([jt]^{s-(r+1)-1}) a(t) \\ &= \sum_{s-(r+1) \leq t \leq s} (t - (s - (r + 1) - 1)) a(t) \\ &= a(s - (r + 1)) + 2a(s - r) + 3a(s - (r - 1)) \\ &\quad + \cdots + (s - (s - (r + 1) - 1)) a(s). \end{aligned}$$

Therefore

$$\begin{aligned} a(s - (r + 1)) &= \text{rank}(X^{s-(r+1)-1}) - 2a(s - r) - 3a(s - (r - 1)) \\ &\quad - 4a(s - (r - 2)) - \cdots - (r + 2)a(s). \end{aligned}$$

By the induction hypothesis, one obtains

$$\begin{aligned} &a(s - (r + 1)) \\ &= \text{rank}(X^{s-(r+1)-1}) \\ &\quad - 2 \left( \text{rank}(X^{s-(r+1)}) - 2 \text{rank}(X^{s-r}) + \text{rank}(X^{s-(r-1)}) \right) \\ &\quad - 3 \left( \text{rank}(X^{s-r}) - 2 \text{rank}(X^{s-(r-1)}) + \text{rank}(X^{s-(r-2)}) \right) \\ &\quad - 4 \left( \text{rank}(X^{s-(r-1)}) - 2 \text{rank}(X^{s-(r-2)}) + \text{rank}(X^{s-(r-3)}) \right) \\ &\quad \vdots \\ &\quad - r \left( \text{rank}(X^{s-(r-(r-3))}) - 2 \text{rank}(X^{s-(r-(r-2))}) \right. \\ &\quad \quad \left. + \text{rank}(X^{s-(r-(r-1))}) \right) \\ &\quad - (r + 1) \left( \text{rank}(X^{s-(r-(r-2))}) - 2 \text{rank}(X^{s-(r-(r-1))}) \right) \\ &\quad - (r + 2) \text{rank}(X^{s-(r-(r-1))}). \end{aligned}$$

Thus one obtains

$$\begin{aligned} &a(s - (r + 1)) \\ &= \text{rank}(X^{s-(r+1)-1}) - 2 \text{rank}(X^{s-(r+1)}) + (4 - 3) \text{rank}(X^{s-r}) \\ &\quad + \left( -2 + (-3)(-2) - 4 \right) \text{rank}(X^{s-(r-1)}) + \cdots + \\ &\quad + \left( -r + (-(r + 1))(-2) - (r + 2) \right) \text{rank}(X^{s-1}) \\ &= \text{rank}(X^{s-(r+2)}) - 2 \text{rank}(X^{s-(r+1)}) + \text{rank}(X^{s-r}). \quad \square \end{aligned}$$

### 3. Proof of Theorem 1

In the following discussion to simplify the notation we use  $J$  for  $\text{rad}(k[E])$ . Note that  $J^{2p-1} = 0$ .

Let  $X, Y$  be the matrices which represent the action of  $x, y$ , and also let  $A, B$  denote the matrices representing the actions of  $a - 1$  and  $b - 1$ , on  $M$  respectively. Note that  $J = \langle A, B \rangle$  and  $J^{2p-1} = 0$ . Since  $X$  and  $Y$  commute, if  $\text{null}(X) = \text{null}(Y)$ , then  $\text{null}(X^i) = \text{null}(Y^i)$  for every  $i \geq 1$ .

**Claim.**  $\text{null}(X) = \text{null}(Y)$ .

**Proof.** Since the situation is symmetric with respect to  $X$  and  $Y$ , it is sufficient to show that  $\text{null}(X) \subseteq \text{null}(Y)$ . By the hypothesis on  $x$  and  $y$  we can write  $Y = X + w(A, B)$  with  $X = \alpha A + \beta B + c(A, B)$  for some  $\gamma \in k$ , for  $\alpha, \beta$  in  $k$  not both 0,  $c(A, B)$  in  $J^2$  but not containing any terms with more than  $p - 1$  factors, i.e., can only contain  $\gamma A^i B^j$  with  $i + j$  in  $\{2, \dots, p - 1\}$  as a term, and  $w(A, B)$  containing only terms with at least  $p$  factors. Since the situation is symmetric with respect to  $A$  and  $B$ , without loss of generality assume that  $\alpha \neq 0$ .

Suppose  $Xm = 0$  for some nonzero  $m$  in  $M$ . Then

$$(2) \quad -(\alpha A + \beta B)m = c(A, B)m,$$

$$(3) \quad Ym = w(A, B)m.$$

Multiplying (2) with  $A^{p-2}B^{p-1}$  we get

$$-A^{p-2}B^{p-1}(\alpha A + \beta B)m = A^{p-2}B^{p-1}c(A, B)m \in J^{2p-1}m = 0.$$

Since  $\alpha \neq 0$ , we have  $A^{p-1}B^{p-1}m = 0$ , and hence,  $J^{2p-2}m = 0$ . Multiplying (2) with  $A^{p-3}B^{p-1}$  gives

$$-A^{p-3}B^{p-1}(\alpha A + \beta B)m = A^{p-3}B^{p-1}c(A, B)m \in J^{2p-2}m = 0.$$

Hence  $A^{p-2}B^{p-1}m = 0$  as  $\alpha \neq 0$ . Similarly, multiplying (2) with  $A^{p-2}B^{p-2}$  gives that  $A^{p-1}B^{p-2}m = 0$ . Thus  $J^{2p-3}m = 0$ . Using  $J^{2p-3}m = 0$ , and multiplying (2) with the terms  $A^{p-2}B^{p-3}$ ,  $A^{p-3}B^{p-2}$ ,  $A^{p-4}B^{p-1}$  we obtain that  $J^{2p-4}m = 0$ . Then by induction on  $l$  in  $J^{2p-l}$ , for  $2 \leq l \leq p$ , we obtain that  $J^p m = 0$ . Hence by (3)  $Ym \in J^p m = 0$  proving the claim.  $\square$

Thus by the above remarks we have  $\text{null}(X^i) = \text{null}(Y^i)$ .

The second statement of the theorem follows from the formula

$$a_i = \text{rank}(X^{i-1}) - 2\text{rank}(X^i) + \text{rank}(X^{i+1})$$

given in Lemma 3(i). Since  $\text{null}(X^i) = \text{null}(Y^i)$ , we have  $\text{rank}(X^i) = \text{rank}(Y^i)$  for all  $i$ . Hence each Jordan block occurs with the same multiplicity in the Jordan form of  $X$  and  $Y$ . That is,  $M \downarrow_{\langle 1+x \rangle}$  and  $M \downarrow_{\langle 1+y \rangle}$  have the same decomposition.  $\square$

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DEPARTMENT OF MATHEMATICS, MIDDLE EAST TECHNICAL UNIVERSITY, ANKARA 06531, TURKEY

[sozkap@metu.edu.tr](mailto:sozkap@metu.edu.tr)

<http://www.metu.edu.tr/~sozkap/>

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